

**PCT**WORLD INTELLEC  
L

WO 9604016A1

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>6</sup> :</b> <b>A61K 47/48</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/04016</b> <b>(43) International Publication Date:</b> 15 February 1996 (15.02.96)
<b>(21) International Application Number:</b> PCT/US95/09477 <b>(22) International Filing Date:</b> 28 July 1995 (28.07.95)  <b>(30) Priority Data:</b> 08/282,384 29 July 1994 (29.07.94) US  <b>(71) Applicant:</b> AMGEN INC. [US/US]; Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).  <b>(72) Inventors:</b> HABBERFIELD, Alan, David; 17838 Castellamare Drive, Pacific Palisades, CA 90272 (US). KINSTLER, Olaf, Boris; 887 St. Charles Drive, No. 21, Thousand Oaks, CA 91360 (US). PITT, Colin, Geoffrey; 2379 Leeward Circle, Thousand Oaks, CA 91361 (US).  <b>(74) Agents:</b> ODRE, Steven, M. et al.; Amgen Inc., Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).		<b>(81) Designated States:</b> AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> CONJUGATES OF VITAMIN B12 AND PROTEINS  <b>(57) Abstract</b>  Therapeutically useful proteins are conjugated to vitamin B <sub>12</sub> by covalent binding at the primary hydroxyl site of the ribose moiety. The resulting conjugates are biologically active and can be formulated into pharmaceutical compositions suitable for delivery by various routes of administration, preferably oral. Uptake in the gut following oral delivery is further enhanced by the co-administration of purified intrinsic factor.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

CONJUGATES OF VITAMIN B12 AND PROTEINSFIELD OF THE INVENTION

5

This invention relates to biologically active conjugates of vitamin B<sub>12</sub> and therapeutic proteins which can be delivered by various routes of administration, including orally, to achieve therapeutically effective levels of the protein in the body.

BACKGROUND OF THE INVENTION

15

The gastrointestinal (i.e., G.I.) is an organ of the body that functions to physically, chemically and enzymatically process and break down ingested nutrients. The G.I. tract is also responsible for the uptake of nutrients into the body and the elimination of waste products. The G.I. tract consists of the stomach, which digests nutrients, stimulates other regions of the G.I. tract to secrete digestive enzymes, stores food temporarily, and releases chyme into the intestine at a controlled rate. The stomach also serves to secrete numerous chemicals and biological factors. However, the uptake of nutrients is not a significant function. Distal to the stomach is the duodenum, where neutralization of acidic chyme occurs. Surfactants, for the digestion of lipids, and proteases, for the degradation of proteins, are also secreted into the duodenum. As with the stomach, there is little absorption of nutrients in the duodenum. Uptake of nutrients, or more specifically their digestive products, takes place principally in the small intestine, comprising the jejunum and the ileum. On the

20

25

30

35

- 2 -

and the colon, are responsible for the storage of waste and water, and also for salt balance. There is little enzymatic activity in the large intestine, which is the least permeable section of the G.I. tract.

5

The majority of the surface area in the small and large intestines is made up of a layer of epithelial cells called enterocytes, which are specialized villus absorptive cells. The intestine is also lined with a  
10 mucus layer; Clamp, J.R., in *Food Allergy and Intolerance*, edited by J. Brostoff and S.J. Challacombe, pages 190-205 (1987). The mucus layer acts as a barrier to macromolecules, e.g., molecules having a molecular weight of greater than 17 kilodaltons; Thomson, A.B.R.  
15 and Dietschy, J.M. in *Pharmacology of the Intestinal Permeation II*, edited by T.Z. Czaky, page 20 (1984). The enterocyte layer, on the other hand, forms a tight lipid barrier to smaller molecules, i.e., peptides of about 500 daltons or so; Smith, P.L. et al., Volume 8,  
20 pages 253-290 (1992). Thus, the lining of the intestine serves as an efficient barrier to both lipophilic and hydrophilic molecules. As a consequence, the oral administration of a large, macromolecular therapeutic such as a protein is normally limited as to  
25 effectiveness.

However, some molecules are specifically taken up in the G.I. tract as a normal function of the digestive process. These substances include amino  
30 acids, glucose and vitamins, among others. For such molecules, native biological mechanisms for transportation across the intestinal lining exist. In particular, amino acids and glucose are taken up by transporter molecules located in the luminal or apical  
35 m mbran domain of enterocytes. Receptors for vitamin

- 3 -

uptake are also present in the apical domain of the enterocyte lining.

Of special interest here is the biological mechanism for the uptake of vitamin B<sub>12</sub> ("VB<sub>12</sub>"). VB<sub>12</sub>, also known as cyanocobalamin, is composed of a corrin ring structure which surrounds an atom of cobalt. VB<sub>12</sub> is normally ingested through animal products and released into the acidic environment of the stomach. A transport protein for VB<sub>12</sub>, called intrinsic factor ("IF"), is also secreted into the lumen of the stomach in humans by parietal cells; Levine, J.S. et al., *Gastroenterology*, Volume 79, pages 493-502 (1980). IF, a glycoprotein of about 44 kilodaltons, is typically released in amounts far in excess of those needed to promote the physiological absorption of VB<sub>12</sub>. Once secreted, IF binds to VB<sub>12</sub> with high affinity ( $K_a$   $1.9 \times 10^{12} \text{ M}^{-1}$ ), but only under the neutral conditions of pH present in the duodenum. After IF becomes complexed with VB<sub>12</sub> it becomes resistant to the proteases present in that organ which degrade most proteins; Allen, R.H. et al., *Journal of Clinical Investigation*, Volume 61, pages 47-54 (1978).

Receptors that bind to the IF-VB<sub>12</sub> complex are present in the apical membrane domain of enterocytes, predominantly in the ileum; Hagedorn, C.H. and Alpers, D.H., *Gastroenterology*, Volume 73, pages 1019-1022 (1977). While the number of receptors for the IF-VB<sub>12</sub> complex on each enterocyte is small, i.e., about 300-400 per cell, the binding affinity for the IF-VB<sub>12</sub> complex is high,  $4.0 \times 10^9 \text{ M}^{-1}$ ; Mathan, V.I. et al., *Journal of Clinical Investigation*, Volume 54, pages 598-608 (1974). After binding to its receptor, the IF-VB<sub>12</sub> complex is internalized in the enterocyte cell body; Kapadia, C.R. and Donaldson, R.M., *Gastroenterology*,

- 4 -

Volume 76, page 1163P (1979). IF is apparently then degraded in the enterocyte. VB<sub>12</sub>, on the other hand, is transcytosed across the cell and then, in a complex with the serum transporting protein, transcobalamin II (TCII), is released into the systemic circulation; Rothenberg, S.P. et al., *British Journal of Haematology*, Volume 40, page 401 (1978), and Dix, C.J. et al., *Gastroenterology*, Volume 98, pages 1272-1279 (1990).

It has been proposed that this VB<sub>12</sub> uptake mechanism may be utilized to transport biologically active substances such as drugs, hormones, antigenic material, and the like, from the intestinal lumen into circulatory blood by covalently coupling these substances to VB<sub>12</sub>. Published European patent application 0 220 030 A2 discloses a process for the preparation of VB<sub>12</sub>-polypeptide conjugates involving the acid hydrolysis of amide groups on the propionamide side chains adjacent to rings A, B and C of VB<sub>12</sub>, followed by chemical linking to amino groups of the polypeptide through the use of a carbodiimide. The synthesis of conjugates of VB<sub>12</sub> with bovine serum albumin (BSA), neomycin sulfate and a D-lys-6 analog of luteinizing hormone releasing hormone (LHRH) is exemplified in the application. In addition, the oral administration of VB<sub>12</sub>-BSA and VB<sub>12</sub>-lys-6-LHRH conjugates to mice is demonstrated. See, also, Marques et al., *Inorganica Chimica Acta*, Volume 162, pages 151-155 (1989).

30

#### SUMMARY OF THE INVENTION

This invention provides biologically active conjugates of vitamin B<sub>12</sub> and a therapeutically useful prot in which are useful to treat mammalian species. Specifically, these conjugates are formed using a

- 5 -

chemical approach involving covalently linking VB<sub>12</sub> to the therapeutic protein via the primary (5') hydroxyl group of the ribose moiety of VB<sub>12</sub>. The resulting conjugates are capable of administration to mammals through various modes of delivery, preferably oral. In particular, when delivered orally the conjugates of this invention bind to intrinsic factor (IF), in the gastrointestinal tract of a vertebrate host. Once the VB<sub>12</sub>-protein conjugate has bound to IF it is taken up by the enterocytes and transported into the bloodstream, retaining the biological activity of the protein therapeutic. For oral administration, the conjugates are preferably used together with purified intrinsic factor (IF) transporter protein, which results in further increases in the absorption.

In general, biologically active conjugates of this invention may be prepared by reacting the therapeutically active protein with 5'-O-[glutaroyl]cyanocobalamin under conditions which form covalent bonds between the two. Preferably, a 5'-O-glutaroyl derivative of VB<sub>12</sub> is formed by acylation of VB<sub>12</sub> with a reactive glutaric acid derivative, for example, the anhydride, to selectively convert the primary hydroxyl group (5'-OH) on the  $\alpha$ -ribose moiety to a chemically reactive carboxyl group. The VB<sub>12</sub> derivative thus obtained is then preferably reacted with a functional linker and/or spacer group to form a second derivative, which in turn is reacted with the therapeutic protein to form a biologically active conjugate.

In addition to these conjugates and the method for their preparation just described, this invention also includes pharmaceutical compositions containing the conjugates. Such compositions may, but need not, also

- 6 -

include absorption enhancing amounts of intrinsic factor, which may be a naturally occurring or recombinant exogenous form.

5           The invention encompasses the use of a variety of therapeutic proteins, preferably, granulocyte colony stimulating factor (G-CSF), erythropoietin (EPO) or consensus interferon (IFN-Con), the uses of which are illustrated in the detailed description of the invention  
10   which follows further below.

For purposes of this disclosure, the terms "VB<sub>12</sub>" and "cyanocobalamin" are used interchangeably to mean the same substance.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a three-dimensional illustration  
20   of the chemical structure of derivatives of VB<sub>12</sub>, including intermediates as well as VB<sub>12</sub>-protein conjugate end products in accordance with this invention.

25           Figure 2 is a schematic diagram of methods for the preparation of derivatives of VB<sub>12</sub>, these derivatives being useful to form VB<sub>12</sub>-protein conjugates in accordance with the invention. The numbers (1,2,3, etc. preceding the substituent designations (R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, etc.) in this Figure as well as in Figures 3-6 relate  
30   back to those numbers listed under the formula in Figure 1.

Figure 3 is a schematic diagram of methods for  
35   the preparation of conjugates of VB<sub>12</sub> and EPO in accordance with the invention.



- 7 -

Figure 4 is a schematic diagram of methods for the preparation of conjugates of VB<sub>12</sub> and G-CSF in accordance with the invention.

5           Figure 5 is a schematic diagram of methods for the preparation of conjugates of VB<sub>12</sub> and pegylated (polyethylene glycol modified) G-CSF in accordance with the invention.

10           Figure 6 is a schematic diagram of methods for the preparation of conjugates of VB<sub>12</sub> and IFN-Con in accordance with the invention.

15           Figure 7 is a graphical representation of the whole blood levels of IFN-Con, in picograms per milliliter, following an intraduodenal infusion of non-conjugated IFN-Con and a conjugate of VB<sub>12</sub> and IFN-Con.

20           Figure 8 is a graphical representation of the plasma levels of TCA-precipitable IFN-Con, in picograms per milliliter, following an intraduodenal infusion of non-conjugated IFN-Con and a conjugate of VB<sub>12</sub> and IFN-Con.

25           Figure 9 shows the uptake of <sup>57</sup>Co-labelled VB<sub>12</sub> in healthy rats in the presence and absence of rat intrinsic factor (rIF), following administration via oral gavage, intraduodenal bolus or intraduodenal infusion.

30           Figure 10 shows the effect of VB<sub>12</sub> conjugation with IFN-Con on plasma levels of IFN-Con after intraduodenal infusion in healthy rats. The effect of the inclusion of r combinant rat intrinsic factor (rrIF) on VB<sub>12</sub>-IFN-Con uptake is shown. The data represent the area under the plasma drug concentration level/time

35

- 8 -

curve over a seventy two hour period (AUC<sub>72</sub>), and are derived using the trapezoid rule (n=4).

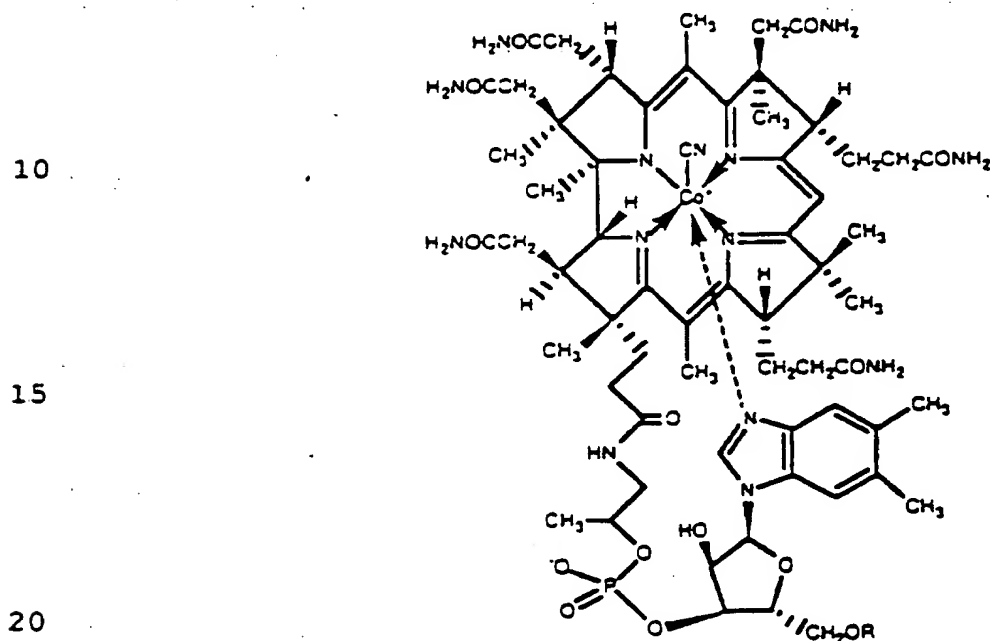
5        Figure 11 is graphical representation of the blood levels, in counts per minute (cpm) per 100 microliters, of <sup>125</sup>I-labelled IFN-Con as compared over time with an <sup>125</sup>I-labelled conjugate of VB<sub>12</sub> and IFN-Con, following intravenous administration in rats.

10        Figure 12 shows the biodistribution of native IFN-Con (panel A) compared with a conjugate of IFN-Con with VB<sub>12</sub> (panel B), in total nanograms per organ, following intravenous administration to the rat. Three  
15        time points, five minutes, sixty minutes and six hours, were used.

20        Figure 13 shows the amount of native IFN-Con as compared with VB<sub>12</sub>-IFN-Con conjugate, in total nanograms, in the liver of rats (n=4), following intravenous administration. The same three time points of Figure 12 are shown.

DETAILED DESCRIPTION OF THE INVENTION

Preferably, conjugates of VB<sub>12</sub> and protein  
 5 according to this invention will be of the formula:



in which R is

- (1)  $\text{CO}-(\text{CH}_2)_n-\text{COR}_1$  wherein  $\text{R}_1$  is the protein,  
or
- (2)  $\text{CO}-(\text{CH}_2)_n-\text{CONH}-(\text{CH}_2)_{12}-\text{NHCOCH}_2\text{CH}_2-\text{S}-\text{R}_3$  wherein  
 25  $\text{R}_3$  is the protein, or
- (3)  $\text{CO}-(\text{CH}_2)_n-\text{CONH}-(\text{CH}_2)_7\text{COR}_1$  wherein  $\text{R}_1$  is the  
protein, or
- (4)  $\text{CO}-(\text{CH}_2)_n-\text{CONHNHCO}(\text{CH}_2)_4\text{CONHNHR}_1$  wherein  $\text{R}_1$   
is the protein, or
- 30 (5)  $\text{CO}-(\text{CH}_2)_n-\text{CONHNHCO}(\text{CH}_2)_4\text{CONHN}=\text{R}_4$  wherein  $\text{R}_4$   
is the protein,

and n is an integer from 1 to 12.

In the most preferred embodiments, such  
 35 conjugates will be in accordance with the formula of  
 Figure 1.

- 10 -

Any polypeptide which is a therapeutically useful protein and is capable of covalent binding to the VB<sub>12</sub> compound can be utilized in the practice of this invention. The protein can be, for instance, either  
5 recombinant or naturally occurring and can include, but is not limited to, cytokines such as granulocyte colony stimulating factor (G-CSF), epidermal growth factor (EGF), erythropoietin (EPO), interferons, such as alpha, beta, gamma, etc., granulocyte/macrophage colony  
10 stimulating factor (GM-CSF), keratinocyte growth factor (KGF), the interleukins, such as IL-1, IL-2, etc., among others. Also encompassed are neurotrophic factors such as nerve growth factor (NGF), brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3), and the like.  
15 Once conjugated with VB<sub>12</sub> in accordance with this invention, the protein can be expected to be useful for the same therapeutic purposes as if unconjugated.

The invention is illustrated further below  
20 with respect to granulocyte colony stimulating factor (G-CSF), erythropoietin (EPO) and consensus interferon (IFN-Con), in particular, which are preferred materials for the practice of this invention. These proteins are known to be useful therapeutically for the treatment of  
25 specific diseases or disorders. G-CSF is approved for use in the treatment of neutropenia (i.e., neutrophil deficiency) in patients undergoing chemotherapy for cancer. EPO is approved for use in the treatment of chronic anemia in patients undergoing kidney dialysis.  
30 IFN-Con is in human clinical trials for the treatment of viral hepatitis infections.

Methods for the recombinant production and purification of EPO are described in United States  
35 patent 4,703,008 (Lin), G-CSF are described in United States patents 4,810,643 (Souza), and 4,999,291 (Souza),

- 11 -

and IFN-Con are described in United States patents 4,897,471 (Stabinsky) and 4,695,623 (Stabinsky), the disclosures of which are incorporated herein by reference.

5

Also contemplated are proteins, such as the above mentioned and others, to which polymers such as polyethylene glycol have been covalently attached to influence biological properties; see, for example, 10 United States patent 4,179,337 (Davis), the disclosure of which is incorporated herein by reference. Other potential carriers for use with the protein include polymer-based microparticles, liposomes and proteinoids, to name some.

15

Conjugates of VB<sub>12</sub> and a therapeutic protein in accordance with this invention are obtained by a method which preferably comprises obtaining a 5'-O-glutaroyl derivative of VB<sub>12</sub> by acylation of the 5'-OH 20 group on the ribose moiety. Chemical modification of the 5'-O-glutaroyl derivative is achieved by providing at least one functional group capable of forming a chemical linkage, and reacting the modified 5'-O-glutaroyl derivative with the therapeutic protein under 25 conditions which form the conjugate.

Typically, the acylation step will be carried out by dissolving VB<sub>12</sub> in a suitable solvent, such as dimethylsulfoxide, treating the VB<sub>12</sub> solution with a 30 suitable reactive glutaric acid derivative (such as anhydride), and isolating the target compound, namely 5'-O-glutaroyl-VB<sub>12</sub>, by ion exchange chromatography in 60-70% yields. This route of preparation of the VB<sub>12</sub> carboxylic acid derivative with a preserved ability to 35 bind to IF has advantages over known cyanocobalamin propionamid side chain hydrolysis approaches in which

- 12 -

the only suitable monoacid, namely the  $\alpha$ -monocarboxylic acid, is obtained in only 9-10% yields. The VB<sub>12</sub> carboxylic acid derivative thus obtained can be conjugated to a protein directly (in the presence of a suitable crosslinking reagent) or derivatized further to form a second derivative suitable for conjugation with the protein.

In general, this invention provides a method for the production of VB<sub>12</sub>-protein conjugates comprising at least one VB<sub>12</sub> molecule per protein molecule by a process comprising the following steps:

a) Converting the 5'-O-glutaroyl derivative of VB<sub>12</sub> into a suitable acylating agent, such as a mixed acid anhydride, acid halide or activated ester, isolating this new derivative, and then reacting it with the protein. The preferred approach comprises the preparation and isolation of the N-hydroxysuccinimidyl active ester of the 5'-O-glutaroyl derivative of VB<sub>12</sub> and reacting that derivative with the therapeutic protein of interest.

b) Conducting the conjugation the 5'-O-glutaroyl derivative of VB<sub>12</sub> with the protein moiety in the presence of a suitable carboxyl group activating reagent, such as dihexylcarbodiimide, 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide, 1-cyclohexyl-3-(2-morpholinyl)-(4)-ethyl-carbodiimide, N-benzyl-N'-3-dimethylaminopropylcarbodiimide, N-ethyl-3-phenylisoxazolium-3'-sulfonate (Woodwards Reagent K), N-ethylbenzioxazolium tetrafluoroborate, ethylchloroformate, p-nitrophenylchloroformate, 1,1'-carbonyldiimidazole, N-(ethoxycarbonyl)-2-ethoxy-1,2-dihydroquinoline, and N-(isobutylcarbonyl)-2-isobutoxy-1,2-dihydroquinoline.

- 13 -

c) Optionally, performing further modifications of the 5'-O-glutaroyl derivative of VB<sub>12</sub> at the carboxylic acid site with the goal of introducing additional functional groups which, in turn, are suitable for conjugation with proteins. This latest step could be performed in the presence of a suitable homo- or heterobifunctional reagent, if necessary. The addition of such new functionalities can serve a number of purposes. In some cases, this addition is done for the purpose of incorporating spacer arms to increase the distance between the VB<sub>12</sub> moiety and the protein surface in the conjugates. In other cases, the functional group transformations can be used to change the reactivity or selectivity of the VB<sub>12</sub>-based reagent, thus changing the type or number of the protein side chain functional groups that will be involved in subsequent conjugation reactions. The importance of these manipulations is clearly demonstrated by the working examples which appear further on in this text.

20

In general, VB<sub>12</sub>-protein conjugates in accordance with this invention will be useful in any of the therapies for which the protein component of the conjugates is useful. For instance, conjugates of VB<sub>12</sub> and EPO can be used to treat patients suffering from chronic anemia, as in cases of renal failure. Conjugates of VB<sub>12</sub> and G-CSF can be employed to treat neutropenia or to increase neutrophil levels to combat infection. Conjugates of VB<sub>12</sub> and consensus interferon can be used to combat viral infections, such as hepatitis B and hepatitis C.

30

The amount of the conjugate that will be effective in the treatment of a particular disorder or condition will depend on the nature of the disorder or condition, and can be determined by standard clinical

35

- 14 -

techniques or dosage amounts and regimens already established for that particular protein. Where possible, it is desirable to determine the dose-response curve and the pharmaceutical compositions of the invention first *in vitro*, as in bioassay systems, and then in useful animal model systems prior to testing in humans.

Methods of administration include intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, and especially, oral. Oral administration is preferred because the VB<sub>12</sub>-protein conjugates of this invention are specifically adapted for oral delivery and enteral uptake.

The invention also provides pharmaceutical compositions comprising an effective amount of a VB<sub>12</sub>-protein conjugate of the invention together with one or more pharmaceutically acceptable diluents, preservatives, solublizers, emulsifiers, adjuvants and/or carriers. Standard procedures for formulating the ingredients into a suitable dosage form can be used.

Pharmaceutical compositions which have been formulated or adapted for oral delivery are particularly preferred. Formulations are available that do not dissolve in the stomach, yet release the VB<sub>12</sub>-protein in the duodenum or elsewhere in the intestinal tract. Solid dosage forms of this type include tablets, capsules, pills, troches or lozenges, cachets and pellets. Other such solid forms can encompass proteinoid (see, e.g., U.S. Patent 4,925,673) or liposomal encapsulation. See, for additional details, Marshall, K., *Modern Pharmaceuticals*, edited by G.S. Banker and C.T. Rhodes, Chapter 10 (1979). The solid dosage form can include the use of an enteric coating,



- 15 -

examples of which are methacrylic acid copolymers, such as Eudragit, manufactured by Rohm Tech, Inc., Malden, Massachusetts; Shellac, manufactured by Montrose-Haeuser, Millmaster Onyx Group, New York, New York; 5 hydroxypropyl methylcellulose phthalate, manufactured by Biddle Sawyer Corp., New York, New York; and cellulose acetate phthalate (CAP), manufactured by Eastman Chemical Products, Inc., Kingsport, Tennessee. These coating materials may be used as films individually or 10 in combination. To ensure optimum gastric resistance, coatings having stability at a pH of less than 5.0 are preferred.

Coatings or coating mixtures, including 15 sucrose, can be used in tablets that are not intended for protection against gastric juices. Capsules of this type can consist of a hard gelatin shell for delivery of a dry therapeutic, for example, a powder, or a soft gelatin shell for delivery of a liquid therapeutic, for 20 example, a VB<sub>12</sub>-protein solution. The coating material for cachets can consist of starch paper. For other forms such as pills, lozenges, molded tablets or tablet triturates, moist massing techniques can be employed.

25 The VB<sub>12</sub>-protein conjugates of this invention can be formulated as fine particulates in the form of granules or pellets having a very small particle size, for example, about one micron. Formulation for administration as a capsule can be in the form of a 30 powder, lightly compressed plug, or tablet.

It may be desirable or necessary to dilute or increase the volume of the VB<sub>12</sub>-protein conjugate with the use of a pharmaceutically inert material. Suitable 35 diluents include carbohydrates, such as, mannitol,  $\alpha$ -lactose, anhydrous lactose, cellulose, sucrose, modified

- 16 -

dextrins and starch. Inorganic salts which are useful as fillers include calcium carbonate, dicalcium phosphate dihydrate, calcium triphosphate, magnesium carbonate and sodium chloride. Examples of commercially available diluents and fillers include FAST-FLO, manufactured by Foremost Foods Company, San Francisco, CA; STA-RX 1500, manufactured by Staley Manufacturing Company, Decatur, IL; EMCOMPRESS, manufactured by Edward Mandell Company, Carmel, NY; AVICEL, manufactured by FMC Corporation, Philadelphia, PA; and LACTOSE DT, manufactured by Sheffield Products, Norwich, NY.

Disintegrants can be included for use in solid dosage forms according to the invention. Examples include starch, sodium starch glycolate, amberlite, sodium carboxymethylcellulose, ultramylopectin, sodium alginate, gelatin, acid carboxymethyl cellulose, natural sponge and bentonite. Other suitable disintegrant materials include insoluble cationic exchange resins, powdered gums, for example, agar, and alginic acid or alginic acid salt.

Other ingredients that can be used in the pharmaceutical composition include colorants, flavorants and binders, for example, acacia, tragacanth, starch, gelatin, methyl cellulose, polyvinyl pyrrolidone, and the like; antifrictional agents, for example, stearic acid, polytetrafluoroethylene, liquid paraffin, vegetable oils, waxes, sodium lauryl sulfate, and the like; glidants, for example, talc, pyrogenic silica, hydrated silicoaluminate, and the like; and surfactants, including, anionic detergents, such as sodium lauryl sulfate, dioctyl sodium sulfosuccinate, dioctyl sodium sulfonate, and the like, cationic detergents, such as, benzalkonium and benzethonium chloride, or nonionic detergents, such as polyoxyl stearate, polyoxyethylen

- 17 -

hydrogenated castor oil, glycerol monostearate, polysorbate, sucrose fatty acid ester, methyl cellulose, carboxymethyl cellulose, and the like.

5           The aforementioned diluents, fillers, disintegrants, colorants, flavoring agents, binders, etc., can be used in amounts which are conventional for their intended purposes.

10           It may be desirable for some applications to utilize a controlled release dosage form from which the VB<sub>12</sub>-protein conjugate is gradually released over an extended period of time. For instance, the VB<sub>12</sub>-protein conjugate can be incorporated in an inert matrix, such  
15 as a gum, that permits release by diffusion or leaching. The use of a slowly degenerative matrix or semipermeable membrane is also possible. Enteric coatings, by their nature, also function to delay release.

20           As noted previously, the therapeutic protein can be modified by the covalent attachment of polymers, such as polyethylene glycol. Such polymers can serve to enhance protein stability for oral dosage forms. For further information, see Delgado et al., *Critical*  
25 *Reviews in Therapeutic Drug Carrier Systems*, Volume 9, pages 249-304 (1992). Alternatively, carrier polymers which incorporate VB<sub>12</sub> and the therapeutic protein by attachment to side chains of the polymer can be used as a means of delivering increased amounts of the conjugate  
30 and, concomitantly, the therapeutic.

          As mentioned, absorption and uptake of the VB<sub>12</sub>-protein conjugates in the gut after oral delivery can be significantly enhanced if the conjugate is  
35 utilized with an effective amount of natural or recombinant exogenous intrinsic factor. Accordingly, in

- 18 -

a preferred method of practice the pharmaceutical composition is formulated to include intrinsic factor admixed as an additional ingredient. Typically, effective amounts of IF included in such compositions will be based on the amount of VB<sub>12</sub> conjugate employed, and in general will involve the use of a molar ratio of IF to VB<sub>12</sub> not exceeding 2:1.

Generally, an effective amount of the VB<sub>12</sub>-protein conjugate of this invention will be determined by the age, weight and condition or severity of disease of the recipient. See, *Remington's Pharmaceutical Sciences*, at pages 697-773, incorporated herein by reference. Dosing may be one or more times daily, or less frequently, and may be in conjunction with other compositions, as the skilled practitioner will recognize. Because enteral uptake is enhanced by use of the conjugate, and further by use of intrinsic factor in admixture with the conjugate, it is expected that lower doses will be enabled to achieve the same bioavailability as the protein administered alone.

#### DESCRIPTION OF SPECIFIC EMBODIMENTS

25

The invention is further illustrated with reference to the Examples materials, methods, procedures and test results given below.

#### 30 Materials

Human erythropoietin (EPO), human granulocyte colony stimulating factor (G-CSF) and human consensus interferon (IFN-Con) were produced recombinantly by Amgen Inc., Thousand Oaks, California, by expression of the human DNA in Chinese hamster ovary or bacterial (*E. coli*) cells. Porcine IF was obtained from Sigma

- 19 -

Chemical Company, St. Louis, Missouri. Vitamin B<sub>12</sub> was also obtained from Sigma. Buffers and other reagents and materials were standard.

5 High Performance Liquid Chromatographic Analysis  
Method 1

Column: 3.9 x 150 millimeters (mm), Nova-Pak (C18), Waters, Millford, Massachusetts. Mobile phase A: 50 mM phosphate, pH 6.5; mobile phase B: acetonitrile.  
10 Gradient: at t=0 minutes, 95% A and 5% B; between t=0 minutes and t=5 minutes, changed linearly to 85% A and 15% B and held until t=13 minutes; between t=13 minutes and t=27 minutes, changed linearly to 15% A and 85% B, then linear return to starting conditions between t=27  
15 minutes and t=28 minutes. Flow rate: 1.5 milliliters per minute (ml/min). UV Detector: 360 nanometers (nm).

Method 2

Column: 4.6 x 250 millimeters (mm), 214 TP(C4), Vydac, Hesperia, California. Mobile phase A: 0.1% trifluoroacetic acid (TFA); mobile phase B: 95% acetonitrile in 0.1% TFA. Gradient: at t=0 minutes, 95% A and 5% B; between t=0 minutes and t=10 minutes, changed linearly to 70% A and 30% B; between t=10  
25 minutes and t=55 minutes, changed linearly to 25% A and 75% B, held until t=60 minutes, then linear return to starting conditions between t=60 minutes and t=61 minutes. Flow rate: 0.8 ml/min. UV Detector: 220 nm and 360 nm.

30

Method 3

Column: 7.5 x 300 mm, UltraSpherogel SEC 2000, Beckman Co., Fullerton, California, two columns coupled in series. Mobile phase: 100 mM phosphat , pH 6.9.  
35 Flow rate: 1 ml/min. UV Detector: Channel 1:280 nm; Channel 2:360 nm.

- 20 -

EXAMPLE 1PREPARATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN

5           Five grams (g) of cyanocobalamin (VB<sub>12</sub>) were dissolved in 1,000 ml of dry dimethylsulfoxide containing 200 g of glutaric anhydride and 160 ml of pyridine. After twelve hours at room temperature (25°C), the excess glutaric anhydride was destroyed by  
10 adding 1 liter of water while maintaining the mixture at pH 6.0 with 10% aqueous potassium hydroxide. Potassium cyanide was then added to obtain a final concentration of 10 mM, and the solution was adjusted to pH 6.0 with the addition of 3 N hydrochloric acid. After one hour,  
15 the solution was added to a column (5x30 cm) packed with XAD-16 (Sigma Chemical Co.). The column was first washed with deionized water. Then cyanocobalamin derivatives were eluted with 50% aqueous acetonitrile. The eluant was evaporated in a rotary evaporator to a  
20 volume of 80 ml and applied to a AG 1x2 (Bio-Rad) column (acetate form, 200-400 mesh, 4.4x100 cm). The column was washed with water to remove unreacted cyanocobalamin. Monoglutaryl derivatives were eluted with 0.16% acetic acid. After the elution of a minor  
25 component, the eluant containing the main component was collected, desalted with XAD-16, concentrated to about 200 ml, and lyophilized. The yield was 3.5 g (65%) of 5'-O-[glutaroyl]-cyanocobalamin. HPLC analysis (Method 1) of a sample of the product showed that it was a  
30 single component.

Infrared spectrum (KBr) 1714cm<sup>-1</sup>. Mass spectrum: M+1, 1469.74± 0.35; calculated: 1469.61 m/e. Elemental analysis: calculated for  
35 C<sub>68</sub>H<sub>94</sub>CON<sub>14</sub>O<sub>17</sub>P.9H<sub>2</sub>O: C, 50.06; H, 6.93; N, 12.02%. Found C, 49.93; H, 7.11; N, 11.94%.

- 21 -

EXAMPLE 2CONJUGATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN  
TO G-CSF

5

This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with G-CSF by the preparation of 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide and the reaction of that derivative  
10 with G-CSF.

Sixty milligrams of 5'-O-[glutaroyl]-cyanocobalamin, prepared as described in Example 1, were added to a solution of 191 mg of 1-(3-  
15 dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (EDC) and 115 mg of N-hydroxysuccinimide (NHS) in 4 ml of anhydrous ethanol. The reaction mixture was stirred at room temperature for two hours, then added dropwise to 200 ml of anhydrous dichloromethane to produce 5'-O-  
20 [glutaroyl]-cyanocobalamin N-hydroxysuccinimide. The latter was collected by filtration as an amorphous, finely divided precipitate. HPLC analysis (Method 1) showed that the product was pure.

25 An ethanol solution of 5'-O-[glutaroyl]-cyanocobalamin-N-hydroxysuccinimide (8.5 mg/ml) was prepared, and 0.1 ml of this solution was added to a solution of 5 mg of G-CSF in 1 ml of 100 mM bicine buffer, pH 8.0. The reaction was allowed to proceed for  
30 one hour at room temperature, after which the reaction mixture was adjusted to pH 4.0 with 0.1 N HCl and diluted to a protein concentration of 1 mg/ml. The resulting reaction product was separated from unreacted cyanocobalamin by elution through Sephadex G-50,  
35 Pharmacia, Piscataway, New Jersey, in 20 mM sodium acetate, pH 4.0. Analysis by HPLC (Method 2) revealed

- 22 -

that the conjugate of 5'-O-[glutaroyl]cyanocobalamin and G-CSF was eluted as two partially resolved components, reflecting different degrees of conjugation of G-CSF. The molar ratio of cyanocobalamin to G-CSF in these  
5 conjugates was 1.84 and 0.52, respectively.

### EXAMPLE 3

#### CONJUGATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN 10 TO EPO

This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin and EPO by the reaction of 5'-O-[glutaroyl]cyanocobalamin N-  
15 hydroxysuccinimide with EPO.

0.18 ml of an ethanol solution of 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide prepared as in Example 2 was added to 3 mg of EPO in 0.5 ml of  
20 100 mM bicine buffer, pH 8.0. After two hours at 4°C, 2.5 ml of 20 mM sodium citrate buffer, pH 7.0, were added to the reaction mixture. Unreacted cyanocobalamin was separated from the resulting reaction product by elution through Sephadex G-50 in 20 mM sodium acetate,  
25 at a pH of 7.0. Analysis by HPLC (Method 3) showed that the conjugate of 5'-O-[glutaroyl]cyanocobalamin and EPO eluted as a single peak and contained a molar ratio of cyanocobalamin to EPO of 2.5.

30

### EXAMPLE 4

#### CONJUGATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN TO IFN-CON

35 This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin and IFN-Con



- 23 -

by the reaction of 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide with IFN-Con.

Two milligrams of IFN-Con dissolved in 2 ml of PBS, pH 7.0, was added to 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide solution (0.2 ml) prepared as in Example 2. After stirring for three and one half hours at room temperature, unreacted cyanocobalamin was separated by gel chromatography on Sephacryl S-100 in the presence of the same buffer. HPLC (Method 2) showed that the resulting conjugate of IFN-Con and 5'-O-[glutaroyl]cyanocobalamin was eluted as a single peak, with a molar ratio of cyanocobalamin to IFN-Con of 3.7.

#### EXAMPLE 5

#### PREPARATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-12-AMINODODECYLAMIDE

This Example illustrates the preparation of the 12-aminododecylamide derivative of 5'-O-[glutaroyl]cyanocobalamin for use as an intermediate.

Sixty three milligrams of 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide, prepared as in Example 2, were dissolved in 10 ml of anhydrous methanol containing 160 mg of 1,12-diaminododecane. The reaction mixture was stirred at room temperature for fifteen minutes before reducing the volume to 5 ml by vacuum evaporation. The crude product was precipitated in 500 ml of dichloromethane, filtered, than partially purified by elution through a Silica C<sub>4</sub> (Vydac) 3.2x10 cm column with a 0-100% gradient of aqueous acetonitrile containing 0.1% TFA. Further purification was achieved by elution from an S Sepharose FF 2.6x30 cm column,

- 24 -

using a 0-100% gradient of 0.1 N HCl. The resulting product, 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamide, was desalted with a Sep-Pak C<sub>18</sub> Cartridge (Waters) in a conventional manner, then lyophilized. The yield was 15 mg (23%). HPLC analysis of the product was by Method 1.

#### EXAMPLE 6

#### 10      PREPARATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-12-AMINODODECYLAMIDO-DITHIOPYRIDYLPROPIONATE

This Example illustrates the preparation of dithiopyridylpropionate derivative of 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamide for use in the preparation of VB<sub>12</sub>-protein conjugates.

Thirteen milligrams of N-succinimidyl 3-(2-pyridyldithio)propionate were added to 3 ml of anhydrous methanol containing 13.8 mg of 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamide prepared as described in Example 5, and 0.0016 ml of triethylamine. The reaction mixture was stirred at room temperature for thirty minutes, reduced in volume to 1 ml, then added dropwise to 300 ml of dichloromethane. The resulting precipitated product, 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamide-dithiopyridylpropionate, was filtered, dissolved in 30% aqueous acetonitrile, and lyophilized. The yield was 12 mg (82%). HPLC analysis of the product was by Method 1. Mass spectrum: M+1848.50±0.70; calculated; 1847.81 m/e.

35

- 25 -

EXAMPLE 7REACTION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-12-AMINODODECYLAMIDO-DITHIOPYRIDYLPROPIONATE WITH G-CSF

5

This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with G-CSF by the reaction of 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamido-dithiopyridylpropionate with G-CSF.

10

4.06 mg of 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamido-dithiopyridylpropionate, prepared as in Example 6, was added to a mixture of 4 mg of G-CSF in 0.67 ml of water which had been acidified to a pH of 3.25 with hydrochloric acid. After being left standing for thirty six hours at 4°C, unreacted 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamido-dithiopyridylpropionate was separated from the reaction mixture by elution through Sephadex G-50 with 20 mM sodium acetate at pH 4.0. Analysis by HPLC (Method 2) showed that the resulting conjugate of 5'-O-[glutaroyl]cyanocobalamin and G-CSF eluted as a single peak, with a molar ratio of cyanocobalamin to G-CSF of 1:1.

25

EXAMPLE 8REACTION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-12-AMINODODECYLAMIDO-DITHIOPYRIDYLPROPIONATE WITH POLYETHYLENEGLYCOL DERIVATIVE OF G-CSF

30

This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with a polyethylene glycol derivative of G-CSF by the reaction of 5'-O-[glutaroyl]-cyanocobalamin-12-aminododecylamido-dithiopyridylpropionate with the derivative.

35

- 26 -

A solution was prepared of 4.06 mg of 5'-O-[glutaroyl]cyanocobalamin-12-aminododecylamido-dithiopyridylpropionate (Example 6) in 0.34 ml of 50% aqueous acetonitrite. Separately, a solution was prepared of a polyethylene glycol derivative of G-CSF, containing 4.14 mg of G-CSF, in 0.81 ml of water which had been acidified to a pH of 3.25 with hydrochloric acid. The two solutions were mixed and left standing for thirty six hours at 4°C. Unreacted cyanobolamin was separated by gel chromatography using Sephadex G-50 in 20 mM sodium acetate, pH 4.0. Fractions containing G-CSF were pooled. HPLC (Method 2) showed that the resulting conjugate of 5'-O-[glutaroyl]cyanocobalamin and polyethyleneglycol-G-CSF was eluted as a single broad peak, with a molar ratio of cyanocobalamin to G-CSF of 0.89.

#### EXAMPLE 9

#### 20      PREPARATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-8-AMINOCAPRYLIC ACID

This Example illustrates the preparation of the 8-aminocaprylic acid derivative of 5'-O-[glutaroyl]cyanocobalamin for use as an intermediate in the formation of VB<sub>12</sub>-protein conjugates.

Sixty three milligrams of 5'-O-[glutaroyl]cyanocobalamin N-hydroxysuccinimide, prepared as in Example 2, was added to 10 ml of anhydrous methanol containing 80 mg of 8-aminocaprylic acid. The reaction mixture was stirred at room temperature for thirty minutes, the volume was reduced to 5 ml by vacuum evaporation, and the crude reaction product was precipitated by the addition of 500 ml of dichloromethane. The precipitate was filtered and

- 27 -

purified by elution from a Silica C4 (Vydac) 3.2x10 cm column, using a 0-100% gradient of acetonitrile in 1.0% TFA. Fractions containing the product were pooled, concentrated (to about 50 ml) by vacuum evaporation, lyophilized, and purified on a AG 1x2 column (acetate form, 200-400 mesh, 3.2x16 cm) with a gradient of 0-100% 0.1 N acetic acid. Product fractions were concentrated with Sep-Pak C18 Cartridges (Waters), then lyophilized to give pure 5'-0-[glutaroyl]cyanocobalamin-8-aminocaprylic acid as a red powder. HPLC analysis of the product was by Method 1. Mass spectrum: M+1609.62±0.35; calculated: 1610.71 m/e.

15

EXAMPLE 10REACTION OF 5'-0-[GLUTAROYL]CYANOCOBALAMIN-8-AMINOCAPRYLIC ACID-N-HYDROXYSUCCINIMIDE WITH G-CSF

This Example illustrates the formation of a conjugate of 5'-0-[glutaroyl]cyanocobalamin with G-CSF by the preparation of the N-hydroxysuccinimidyl ester of 5'-0-[glutaroyl]cyanocobalamin-8-aminocaprylic acid and the reaction of that derivative with G-CSF.

Forty milligrams of 5'-0-[glutaroyl]-cyanocobalamin-8-aminocaprylic acid, prepared as in Example 9, were added to a mixture of 118 mg of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride and 71 mg of N-hydroxysuccinimide in 1.4 ml of anhydrous ethanol. The resulting mixture was stirred at room temperature for two hours, then added dropwise to 200 ml of anhydrous dichloromethane. The resulting product, 5'-0-[glutaroyl]cyanocobalamin-8-aminocaprylic acid-N-hydroxysuccinimide, was precipitated as an amorphous finely divided powder and collected on a 0.45 µm pore size filter.

- 28 -

A solution of 2.11 mg of 5'-O-[glutaroyl]cyanocobalamin-8-aminocaprylic acid-N-hydroxysuccinimide in 0.08 ml of ethanol was mixed with 4 mg of G-CSF in 0.8 ml of 100 mM bicine buffer, pH 8.0. After one hour at room temperature, the mixture was adjusted to pH 4.0 with 0.1 N HCl and diluted to a protein concentration of 1 mg/ml. Unreacted cyanocobalamin was separated by gel chromatography on Sephadex G-50 by elution with 20 mM sodium acetate at a pH of 4.0. Analysis by HPLC (Method 2) revealed that the conjugate of 5'-O-[glutaroyl]cyanocobalamin and G-CSF eluted as two partially resolved peaks. The molar ratio of cyanocobalamin to G-CSF in each product was 2.72 and 1.81, respectively.

#### EXAMPLE 11

##### REACTION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-8-AMINOCAPRYLIC ACID-N-HYDROXYSUCCINIMIDE WITH EPO

This Example illustrates the conjugation of 5'-O-[glutaroyl]cyanocobalamin with EPO by the reaction of 5'-O-[glutaroyl]cyanocobalamin-8-aminocaprylic acid-N-hydroxysuccinimidyl to EPO.

A solution of 3 mg of EPO in 0.5 ml of 100 mM bicine buffer, pH 8.0, was added to 1.70 mg of 5'-O-[glutaroyl]cyanocobalamin-8-aminocaprylic acid-N-hydroxysuccinimide prepared as in Example 10. After the mixture was left standing for two hours at 4°C, 2.5 ml of 20 mM sodium citrate buffer, pH 7.0, were added and unreacted cyanocobalamin was separated by gel chromatography on Sephadex G-50 in the presence of the same buffer. Analysis by HPLC (Method 3) showed that the conjugate of 5'-O-[glutaroyl]cyanocobalamin-8-aminocaprylic acid and EPO eluted as a single peak and had a molar ratio of cyanocobalamin to EPO of 3.00.

- 29 -

EXAMPLE 12PREPARATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-  
ADIPIC-1,6-DIHYDRAZIDE

This Example illustrates the preparation of the adipic-1,6-dihydrazide derivative of 5'-O-[glutaroyl]cyanocobalamin for use as an intermediate in the preparation of VB<sub>12</sub>-protein conjugates.

Sixty three milligrams of 5'-O-[glutaroyl]-cyanocobalamin N-hydroxysuccinimide, prepared as in Example 2, were added to a solution of 139 mg of adipic-1,6-dihydrazide in 10 ml of anhydrous methanol. The solution was stirred at room temperature for three hours, the volume was reduced to 5 ml by vacuum evaporation, and the crude product was precipitated by the addition of 500 ml of dichloromethane. The precipitated product was dissolved in 5 ml of 0.1% TFA, then eluted through a Silica C<sub>4</sub> (Vydac) 3.2x10 cm column using a 0-100% gradient of acetonitrile and 0.1% TFA. Fractions corresponding to the major component were pooled, concentrated (to 50 ml) by vacuum evaporation, lyophilized, and then applied to an S Sepharose FF 2.6x30 cm column. Elution with a 0-100% gradient of 0.1 N HCl resulted in 5'-O-[glutaroyl]cyanocobalamin-adipic-1,6-dihydrazide, which was desalted using a Sep-Pak C<sub>18</sub> Cartridge (Waters) and lyophilized. The yield was 32 mg (50%).

EXAMPLE 13REACTION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-  
ADIPIC-1,6-DIHYDRAZIDE WITH EPO

This Example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with EPO by

- 30 -

the reaction of 5'-O-[glutaroyl]cyanocobalamin-adipic-1,6-dihydrazide with EPO.

Six milligrams of EPO in 1 ml of 20 mM citrate buffer, pH 7.0, was treated with 0.3 ml of 0.1 M sodium iodate at 4°C for ten minutes. The product was buffer exchanged by elution through a Sephadex G-25 column with 0.2 M sodium acetate, pH 5.5. The elution product was combined with a solution of 2.7 mg of 5'-O-[glutaroyl]cyanocobalamin-adipic acid-1,6-dihydrazide in 5.3 ml of 0.2 M sodium acetate, then stirred for ten hours at 4°C. Unreacted cyanocobalamin was separated by gel chromatography on Sephacryl S-200 HR in 20 mM sodium citrate and 100 mM NaCl, pH 7.0. Analysis by HPLC (Method 3) showed that the conjugate of 5'-O-[glutaroyl]cyanocobalamin and EPO eluted as a single peak. The molar ratio of cyanocobalamin to EPO was 1.76.

#### EXAMPLE 14

#### 20      CONJUGATION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-ADIPIC-1,6-DIHYDRAZIDE TO IFN-CON

This example illustrates the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with IFN-Con through the use of carboxylic acid functional groups on the protein.

A solution of 2 mg of IFN-Con in 2 ml of 100 mM phosphate buffer, pH 6.0, was reacted with 1.6 mg of 5'-O-[glutaroyl]cyanocobalamin-adipic-1,6-dihydrazide (prepared as in Example 12) and 1.91 mg of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride for ten hours at 4°C. Then, 3.82 mg of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride were added and the reaction was continued under the same conditions for five more hours. Unreacted cyanocobalamin was separated from the conjugate reaction



- 31 -

product by gel chromatography on Sephadex G-50 in the same buffer. Analysis by HPLC (Method 2) showed that the resulting conjugate of 5'-O-[glutaroyl]cyanocobalamin and IFN-Con was eluted as two partially resolved peaks, with a molar ratio of cyanocobalamin to IFN-Con of 1.8.

#### EXAMPLE 15

#### REACTION OF 5'-O-[GLUTAROYL]CYANOCOBALAMIN-ADIPIC-1,6-DIHYDRAZIDE WITH EPO

This Example illustrates a second method for the formation of a conjugate of 5'-O-[glutaroyl]cyanocobalamin with EPO through the use of the hydrazidyl functional group of a VB<sub>12</sub> derivative.

A solution of 2 mg of EPO in 0.36 ml of water was reacted with 1.07 mg of 5'-O-[glutaroyl]cyanocobalamin-adipic-1,6-dihydrazide (prepared as in Example 12) and 1 milligram of 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride for fifteen hours at 4°C. Unreacted cyanocobalamin was separated from the reaction product by gel chromatography on Sephacryl S-100 in a buffer of 20 mM sodium citrate and 100 mM sodium chloride, pH 7.0. HPLC analysis (Method 3) of the reaction product, a conjugate of 5'-O-[glutaroyl]cyanocobalamin-adipic-1,6-dihydrazide and EPO, showed that the conjugate eluted as a single peak and had a molar ratio of cyanocobalamin to EPO of 0.44.

#### ANALYTICAL PROCEDURES AND TESTING METHODS

##### Intrinsic Factor Binding Affinity

The binding affinity of conjugates of VB<sub>12</sub> and therapeutic proteins to intrinsic factor (IF) was

- 32 -

determined using a competition binding assay based on the procedure described by Mathan et al. in the *Journal of Clinical Investigation*, Volume 54, pages 598-608 (1974). The assay was conducted in phosphate buffered saline (PBS) containing 1 mM calcium chloride, 0.5 mM magnesium chloride and 1% by weight of bovine serum albumin (BSA) devoid of IF and cyanocobalamin (Sigma Chemical Company, St. Louis, MO). Porcine IF (final concentration 1.845 nM) was added to a large excess of the VB<sub>12</sub>-protein conjugate (123 nM), together with a range of concentrations of <sup>57</sup>Co-cyanocobalamin (0.123 nM to 12.3 nM), specific activity 10-20  $\mu$ Ci/ $\mu$ g, in a final volume of 600  $\mu$ l of PBS. The solution was vortexed and incubated at room temperature for thirty minutes, before adding 1 ml of freshly prepared dextran-coated charcoal (0.5% by weight of charcoal, 0.1% by weight of dextran in PBS) at 4°C. After incubation for ten minutes, the mixture was centrifuged for fifteen minutes at 1000xG (2500 rpm) and 4°C in a IEC Centra-8R benchtop centrifuge, VWR Scientific, South Plainfield, New Jersey. The supernatant was decanted from the resulting pellet and assayed in a Cobra 2000 Gamma counter (Hewlett-Packard, Palo Alto, California) to determine the amount of bound <sup>57</sup>Co-cyanocobalamin.

The ratio of bound to free <sup>57</sup>Co-cyanocobalamin versus the bound concentration of <sup>57</sup>Co-cyanocobalamin was plotted according to the method of Scatchard, *Annals of the New York Academy of Science*, Volume 51, pages 660-672 (1949). The binding affinities of 5'-O-[glutaroyl]-cyanocobalamin and its protein conjugates to porcine IF were determined from the slope of the Scatchard plot using the following equation:

$$-\text{Slope} = -K_{app} = -K_L / (1 + K_C [L_f])$$

in which

$K_C$  = binding affinity of conjugat

- 33 -

$K_L$  = binding affinity of cyanocobalamin

$[L_f]$  = concentration of unbound conjugate

The use of a large excess of the conjugate  
5 permitted the value of  $[L_f]$  to be treated as a constant  
(123 nM). The value of  $K_L$  determined from the mean of  
six assays was  $(6.02 \pm 0.90) \times 10^9$  M.

In Vitro Biological Activity of VB<sub>12</sub>-G-CSF Conjugates

10 The bioactivity of VB<sub>12</sub>-G-CSF in vitro after  
conjugation to 5'-O-[glutaroyl]cyanocobalamin was  
determined by measuring the stimulated in vitro uptake  
of <sup>3</sup>H-thymidine into mouse bone marrow cells.

15 Bone marrow cells were collected from the hind  
legs of female Balb/C mice by flushing the bone with  
PBS. The cells were purified on a Ficoll-Paque density  
gradient (Pharmacia) and cultured in McCoy's 5A medium  
with 10% fetal bovine serum (FBS), 10 mM sodium  
20 pyruvate, 1x minimum essential medium (MEM) amino acids,  
40 micromolar ( $\mu$ M) of essential amino acids, 0.04% by  
weight of sodium bicarbonate, 1x MEM vitamin solution,  
10 mM L-glutamine and 0.005% by weight of gentamicin  
sulfate. After incubation for two hours at 37°C under a  
25 5% carbon dioxide-containing atmosphere, non-adherent  
cells were collected in the supernatant and the number  
of viable cells was counted in a hemocytometer.

A standard curve was prepared over a range  
30 from 0.07 to 1500 Units of G-CSF per milliliter (U/ml),  
and the complexes were diluted to about 0.3 to 30 U/ml  
prior to being assayed. To a 96-well plate was added,  
in triplicate, 100  $\mu$ l of the standard or test material  
and 100  $\mu$ l of culture medium containing  $4 \times 10^4$  non-  
35 adherent mouse bone marrow cells. After incubating the  
plates for sixty eight hours at 37°C under a 5% carbon

- 34 -

dioxide atmosphere, 0.5  $\mu$ Ci of thymidine [methyl- $^3$ H], 20 Ci/mmol (NEN, Boston, Massachusetts) was added to each well. The plates were incubated as before for an additional five hours. The cells were collected on  
5 filter paper, rinsed with water (ten times) and ethanol (one time), and then counted in Biofluor scintillation fluid in a beta plate scintillation counter (LKB, Piscataway, New Jersey, Model 1205-001).

10 The bioactivity of the test material, in Units per milligram (U/mg), was determined from the standard curve of the G-CSF stimulated uptake of thymidine [methyl- $^3$ H].

15 In Vivo Biological Activity of VB<sub>12</sub>-EPO Conjugates

The hematopoietic activity of EPO after conjugation to 5'-O-[glutaroyl]cyanocobalamin was determined in vivo in exhypoxic polycythemic mice using the procedure of Cotes and Bingham described in Nature,  
20 Volume 191, pages 1065-1067 (1961).

The dilution of the complexes prior to intraperitoneal (i.p.) injection was based on the protein content derived from the A<sub>280</sub> of the sample.

25

In Vitro Biological Activity of VB<sub>12</sub>-IFN-Con Conjugates

The in vitro bioactivity of IFN-Con after conjugation to 5'-O-[glutaroyl]cyanocobalamin was determined by measurement of the inhibition of viral  
30 replication in a cultured cell line.

HeLa cells were plated into 96-well plates at 15,000 cells/well and incubated for twenty four hours at 37°C under 5% carbon dioxide in base medium (Dulbecco's  
35 modified Eagles medium (DMEM), containing 100 units/ml of penicillin, 100 mg/ml of streptomycin, 2 mM L-

- 35 -

glutamine, 1% by weight of non-essential amino acids, 0.1% by weight of gentamicin sulfate and 1% HEPES buffer), with 10% FBS. IFN-Con was prepared at multiple dilutions ranging from 40 to 0.02 ng/ml (40,000 to 19.53 Units) in base medium and 0.2% FBS. One hundred microliters of each standard and appropriately diluted test sample were added to each well. For both the positive (no IFN-Con) and negative (no virus) controls, 100 µl of base medium alone was added. After further incubation for nineteen to twenty three hours, the medium was aspirated and replaced with 100 µl of the challenge virus, i.e., Encephalomyocarditis Virus (EMCV), at a dilution equal to 100-1000 tissue culture infected dose (TCID) units in DMEM with 1% FBS. The plates were further incubated for about twenty two hours, the medium was removed, and the cells were fixed with 200 µl of anhydrous methyl alcohol for five minutes.

The fixative was removed and the cells were stained for thirty minutes in 0.5% Gentian dye, then rinsed free of dye and air-dried for one half to two hours. The dye was eluted with 200 µl of ethylene glycol monomethyl ether and shaken for thirty minutes. The absorbance of each well at 650 nm was determined in a Vmax Kinetic Microplate Reader, model 88026 (Molecular Devices). The results for the standard were graphed as the log concentration of IFN-Con versus the percentage of dye uptake. Regression analysis of the linear portion of the curve between 10-83% dye uptake was performed, and the bioactivity of the test sample was determined.

- 36 -

RESULTS

The conjugates of VB<sub>12</sub> and proteins, prepared in accordance with the Examples, were evaluated for  
5 their binding affinity to the VB<sub>12</sub> transporting protein, intrinsic factor (IF), and for biological activity using the test procedures described above. The results are reported in Table 1.

TABLE 1

Binding Affinity of VB<sub>12</sub> - Protein Conjugates to Intrinsic Factor (IF); Biological Activity of VB<sub>12</sub> - Protein Conjugates

Conjugate	Protein	Binding Affinity to IF (X10 <sup>9</sup> M <sup>-1</sup> )	Biological Activity of Conjugated
VB <sub>12</sub> alone (control)	None	6.0 ± 0.90	Not Applicable
Example 1	None	0.74	Not Applicable
Example 2	G-CSF	0.45	n/d
Example 3	EPO	n/d	0.2% <sup>b</sup>
Example 4	IFN-Con	0.59	24 ± 4.2% <sup>c</sup>
Example 7	G-CSF	0.25	33% <sup>a</sup>
Example 8	PEG-G-CSF	0.093	4.4% of G-CSF <sup>a</sup> 38% of PEG-G-CSF <sup>a</sup>
Example 10	G-CSF	0.53	60% <sup>a</sup>
Example 11	EPO	n/d	1% <sup>b</sup>
Example 13	EPO	5.20	33 ± 14% <sup>b</sup>
Example 14	IFN-Con	0.52	28 ± 7.1% <sup>c</sup>
Example 15	EPO	3.10	63 ± 25% <sup>b</sup>

<sup>a</sup> in vitro thymidine uptake assay

<sup>b</sup> in vivo exhypoxic polyethemic mouse assay

<sup>c</sup> in vitro viral inhibition assay

<sup>d</sup> % activity compared to non-conjugated protein  
n/d not determined

- 38 -

It is evident from the data listed in the Table that conjugation via the 5-0'-glutaryl group results in some reduction in the IF binding affinity. However, the  $K_a$  values are still large. A range of binding affinities of IF for the protein-conjugated VB<sub>12</sub> are shown in Table 1. The lowest  $K_a$  is  $9.3 \times 10^7$  M., (Example 8); steric hindrance of the IF binding by the PEG molecules could account for this loss. The greatest retention of VB<sub>12</sub> binding affinity for IF is  $5.2 \times 10^9$  M (Example 13), which is conjugation of VB<sub>12</sub> through the sialic acids of the EPO molecule. These values correspond to a retention of 1.6% and 87% of non-conjugated VB<sub>12</sub> binding affinity, respectively, and demonstrate that the protein-conjugated VB<sub>12</sub> will still bind to intrinsic factor.

The *in vitro* bioactivities of VB<sub>12</sub>-conjugated G-CSF are shown, and reflect the ability of the conjugate to bind to the G-CSF receptor and, therefore, to elicit a response. The bioactivity of VB<sub>12</sub> conjugated G-CSF ranges from 33% to 60%. Previous studies have shown only a partial correlation between *in vitro* bioactivity and *in vivo* bioactivity. However, in most cases G-CSF with measurable activity *in vitro* is capable of stimulating a response *in vivo*.

Conjugation of 5-0'-[glutaroyl]cyanocobalamin to EPO through either the carboxy (Example 15) or sialic acid groups (Example 13) produced conjugates that were consistently active in the exhypoxic polycythemic mice assay. The activities ranged from 33% to 63%.

A similar result was observed for conjugates of IFN-Con, where VB<sub>12</sub> was conjugated via



- 39 -

either the amine (Example 4) or the carboxy (Example 14) groups. The resulting conjugates had very similar bioactivities as measured in the viral inhibition studies, with 24-28% bioactivity as compared to native IFN-Con.

The foregoing test results show that the conjugation of VB12 to the therapeutic proteins EPO, G-CSF and IFN-Con resulted in biologically active molecules. Also, the VB12-protein conjugates were fully capable of binding to IF. The retention of IF binding affinity is essential to the development of any oral VB12-mediated delivery.

#### 15 IN VIVO BIOLOGICAL TESTING OF VB12-IFN-CON CONJUGATE

Additional tests involving administration of a conjugate of VB12 and IFN-Con to animals are described below. The conjugate used was that of Example 14.

#### 20 Radiolabelling of IFN-Con and VB12-IFN-Con Conjugate

One iodobead (Pierce Chemicals, Rockford, Illinois) was prewashed with one milliliter (ml) of PBS [25 millimolar (mM) sodium phosphate, 100 mM sodium chloride, pH 6.7] in accordance with the manufacturer's instructions. The bead was then dried and placed in an Eppendorf tube with 100 microliters ( $\mu$ l) of 0.5 M sodium phosphate buffer. To the bead was added 25  $\mu$ l of Na<sup>125</sup>I (carrier free, specific activity 100 mCi/ml), obtained from E.I. DuPont DeNemours, Wilmington, DE, and the mixture was allowed to incubate for five minutes at room temperature. One hundred and fifty micrograms of either IFN-Con or VB12-IFN-Con conjugate in PBS were added to the Eppendorf tube, mixed gently, and then incubated at room temperature for fifteen minutes. Thirty microliters of 1 M parahydroxybenzoat were added to

- 40 -

bind nonlabelling  $^{125}\text{I}$ , and the mixture was incubated for an additional ten minutes on ice. Separation of the  $^{125}\text{I}$ -labelled protein and the unbound  $^{125}\text{I}$  was carried out on a PD10 column (Pharmacia, Piscataway, New Jersey) which had been pre-equilibrated with PBS. Fractions eluted with PBS (500  $\mu\text{l}$ ) were collected and evaluated for radioactivity in a Cobra 5000 gamma counter (Hewlett-Packard, Downers Grove, Illinois).

10           The fractions containing the labeled protein were pooled and exhaustively dialyzed at  $4^\circ\text{C}$  in PBS. The dialysate was continually monitored for  $^{125}\text{I}$ , until no more unbound  $^{125}\text{I}$  was removed. The amount of free  $^{125}\text{I}$  mixed with the radiolabelled protein was determined by precipitation with a final 6% solution of trichloroacetic acid (TCA). The amount of non-labelling  $^{125}\text{I}$  was less than 2% and the amount of IFN-Con was determined by U.V. absorption at  $A_{280}$ . The specific activity of the  $^{125}\text{I}$ -labelled IFN-Con was  $3.08 \times 10^5$  cpm/ $\mu\text{g}$  and of the  $^{125}\text{I}$ -labelled VB<sub>12</sub>-IFN-Con conjugate was  $4.67 \times 10^5$  cpm/ $\mu\text{g}$ .

#### IFN-Con ELISA

25           Ninety six well plates were coated with 100  $\mu\text{l}$  per well of a 1:1000 diluted rabbit-derived polyclonal antibody to IFN-Con (Amgen Inc., Thousand Oaks, CA) in 15 mM of sodium carbonate and 35 mM of sodium bicarbonate, pH 9.2. Coating was effected by incubation with the antibody at room temperature for two hours followed by incubation overnight at  $4^\circ\text{C}$ . After decantation, 300  $\mu\text{l}$  of a blocking solution, composed of PBS containing 5% bovine serum albumin (BSA) and 0.1% of  $\text{NaN}_3$ , was incubated in the wells at room temperature for one hour. Fifty microliters of a TNE buffer, composed of 50 mM Trizma base, pH 7.4, containing 150 mM of NaCl,

35

- 41 -

13 mM of EDTA and 0.25 mM of thimerosal, with 0.1% Tween 20, was added to the wells together with 50 µl of standard or diluted sample. Standard curves were established in the assay using either native IFN-Con or VB<sub>12</sub>-IFN-Con conjugate, depending on what was administered to the test rat. The EIA plates were then incubated for two hours at room temperature and for an additional two hours at 37°C. After decantation, the plates were washed twice with a standard washing solution (Kirkegaard & Perry Laboratories, Gaithersburg, MD, Cat. No. 50-63-00). A mouse monoclonal antibody to IFN-Con (Amgen Inc., Thousand Oaks, CA), diluted 1:4000 in TNE buffer with 10% FBS, was added and the sample was incubated overnight at room temperature. After decantation, the EIA plate was washed twice and a goat-derived anti-mouse IgG antibody, conjugated with horse radish peroxidase (HRPO), (Boehringer Mannheim, Indianapolis, IN), was added at a dilution of 1:2000. After incubation for two hours at room temperature, the plates were decanted and washed four times. One hundred microliters of TMB peroxidase substrate solution (Kirkegaard & Perry Laboratories, Cat. No. 50-76-00) were then added and the sample was incubated for five minutes at room temperature. The reaction was terminated by the addition of 100 µl of 1 M H<sub>3</sub>PO<sub>4</sub>, and the absorbance was measured at 450 nm, referenced against the absorbance at 630 nm.

(1) Intraduodenal Administration of VB<sub>12</sub>-IFN-Con Conjugate To Rats

Male Sprague-Dawley rats, weighing 250-350 grams each, were quarantined for seven days prior to use, then anesthetized intraperitoneally with 50 mg/kg of Nembutol. Stomachs were exposed through midline incision (approximately 3-4 centimeters) just below the

- 42 -

Xiphoid process. A purse string suture was made in the duodenum one centimeter distal to the pylorus, and an incision of approximately two millimeters was made in the center of the suture. A ten centimeter silastic catheter was then advanced eight centimeters into the duodenum and secured in place by closing the purse string suture while being careful that the catheter was not also closed.

To ensure optimum uptake from the gut, slow release from an Alzet mini-osmotic pump, Model 2001D, over a twenty four hour period was used for infusion of 8-9  $\mu\text{l/hr}$  of test sample. Pumps were prefilled under sterile conditions with the total dose indicated in 221  $\mu\text{l}$  of PBS, attached at the free end of the catheter, and placed in the peritoneal cavity. Radiolabelled test samples were formulated as follows:

Group 1 (IFN-Con. Control)

$^{125}\text{I}$ -IFN-Con at 10  $\mu\text{g/kg}$  ( $9.3 \times 10^5$  cpm/rat) + 0.1% rat albumin

Group 2 (VB12-IFN-Con Conjugate)

$^{125}\text{I}$ -VB12-IFN-Con at 10  $\mu\text{g/kg}$  ( $1.4 \times 10^6$  cpm/rat) + 0.1% rat albumin

At the end of the surgery, the peritoneum was closed in each instance with a running silk suture and the skin incision was closed with wound clips. Rats were then placed on a warming pad until they recovered from anesthesia.

Blood samples were withdrawn through the tail vein using isofluorane anesthesia. The amount of total radioactivity was determined for each blood sample using

- 43 -

a gamma counter. Plasma samples were also prepared by centrifugation in an Eppendorf centrifuge at 12,000 rpm, 11750 xg, for fifteen minutes, and the number of TCA-precipitable counts (final 6% solution of TCA), were  
5 determined in the plasma to assess the amount of radioactivity associated with intact IFN-Con in the plasma.

The results in Figure 7 represent the amount  
10 of IFN-Con that was present in whole blood, i.e., plasma and blood cells, as determined from the total CPM. Some of the  $^{125}\text{I}$ -labelled IFN-Con might be bound to receptors present on the surface of some cells. However, it was not possible to determine if all of the counts were  
15 associated with intact protein. For this reason, a comparison of the plasma levels of TCA-precipitable protein after intraduodenal infusion is shown in Figure 8. In looking at the data, one can see that there is an increase in plasma levels of the VB<sub>12</sub>-conjugated protein  
20 over the non-conjugated IFN-Con. During the twenty four hour infusion period into the duodenum, the VB<sub>12</sub>-conjugated protein reached plasma levels almost two-fold greater than for the native IFN-Con. It is somewhat surprising that the proteins did not reach a steady  
25 state during the infusion period, but instead appear to accumulate in the circulation over time. Conjugation of VB<sub>12</sub> with IFN-Con thus resulted in enhanced systemic delivery of the protein from the gut.

30 (2) Co-Administration of  $^{57}\text{Co}$ -VB<sub>12</sub> with IF

Co-administration of  $^{57}\text{Co}$ -VB<sub>12</sub> and IF to rats, using three different routes of delivery, was employed to determine if the presence of IF results in increased  
35 uptak of VB<sub>12</sub>. Some test rats were given radiolabelled

- 44 -

VB<sub>12</sub> by means of the intraduodenal pump infusion method. Another group of test rats were given <sup>57</sup>Co-VB<sub>12</sub> as an intraduodenal bolus. This was done using the same intraduodenal administration procedure described above.

5 Adaptions were as follows: using a 1 cubic centimeter syringe with tubing adaptor connected to the end of the catheter, samples were injected into the duodenum in 200 µl of PBS, the catheter was withdrawn, and the suture was closed tightly. Other test rats were administered

10 by oral gavage feeding. A recombinant form of rat IF (rrIF) produced in Chinese hamster ovary cells, was employed for those test groups designated for co-administration. A binding analysis of the rrIF prior to these *in vivo* studies demonstrated that the transport

15 protein was active. The binding affinity (K<sub>a</sub>) of rrIF was calculated to be  $8.58 \times 10^9 \text{ M}^{-1}$ . This value is similar to that of native human IF,  $2 \times 10^{10} \text{ M}^{-1}$ ; Stupperich, E. and Nexø, E., *European Journal of Biochemistry*, Volume 199, pages 299-303 (1991). The

20 data showed that rrIF is a good indicator of the results to be expected with porcine or human IF in a clinical setting. The animals were housed in metabolic cages and the feces collected over a forty-eight hour period to determine the amount of non-absorbed <sup>57</sup>Co-labelled VB<sub>12</sub>.

25 Six test groups were evaluated, as follows:

<u>Group</u>	<u>Mode of Treatment</u>	<u>Routes of Administration</u>
1	<sup>57</sup> Co-VB <sub>12</sub> alone	Intraduodenal pump
30	(20 ng at $6.6 \times 10^5 \text{ dpm}$ )	
2	<sup>57</sup> Co-VB <sub>12</sub> + IF (20 units/rat)	Intraduodenal pump
35	3. <sup>57</sup> Co-VB <sub>12</sub> alone	Intraduodenal bolus

- 45 -

4.            $^{57}\text{Co-VB}_{12}$  + IF           Intraduodenal bolus
5.            $^{57}\text{Co-VB}_{12}$  alone           Oral gavage
- 5           6.            $^{57}\text{Co-VB}_{12}$  + IF           Oral gavage

The results are shown in Figure 9. As can be seen, the best method of administration of VB<sub>12</sub> to the gastrointestinal tract appears to be via intraduodenal infusion, with a small increase in uptake in the presence of exogenous IF. The inclusion of IF had the least effect for those animals fed by oral gavage. The greatest effect of IF occurred with administration by intraduodenal bolus. Here, uptake of VB<sub>12</sub> was very poor without IF, while the inclusion of IF resulted in an increase in uptake of almost three-fold, to levels similar to those achieved with oral gavage feeding. These results suggest that the inclusion of IF may enhance the uptake of a VB<sub>12</sub>-protein conjugate. This would be especially appropriate for an oral formulation which includes an enteric coating where release of the VB<sub>12</sub>-protein conjugate would occur in the small intestine and not in the stomach.

25           (3)   Co-Administration of VB<sub>12</sub>-IFN-Con with IF

Using the intraduodenal pump infusion in vivo model, native IFN-Con or VB<sub>12</sub>-IFN-Con, was administered over twenty four hours to live, healthy rats. The dose was 500 µg/kg in PBS in each case. To evaluate the absorption enhancing effect, if any, of intrinsic factor, a third group of rats was given recombinant rat IF (3 mg/kg, or 1 mg/rat) together with VB<sub>12</sub>-IFN-Con.

35   The first two test groups wer dosed using a singl

- 46 -

Alzet mini-osmotic pump. The third test group was dosed by placing the VB<sub>12</sub>-IFN-Con in one Alzet mini-osmotic pump, and recombinant rat IF in another Alzet mini-osmotic pump. The two pumps were joined via a 2-centimeter catheter to a three-way tubing adaptor. The two materials were thus pre-mixed before they entered the duodenum. The ELISA was then carried out on serum taken from the test animals to determine the level of IFN-Con. Plasma samples were collected in microcontainer serum separator tubes, Becton Dickinson Co., Franklin Lakes, New Jersey, and stored at -80°C prior to analysis. An AUC analysis was performed out to seventy two hours after the beginning of the study (AUC<sub>72</sub>). The results are summarized in Figure 10.

As can be seen, the AUC<sub>72</sub> for VB<sub>12</sub>-IFN-Con conjugate was increased by ten-fold over native IFN-Con. Those animals given a combination of VB<sub>12</sub>-IFN-Con and recombinant rat intrinsic factor experienced an even greater elevation of IFN-Con in their sera, specifically, a thirty-six fold increase over native IFN-Con and a four-fold increase over VB<sub>12</sub>-IFN-Con without IF. These data show that, once again, a VB<sub>12</sub>-protein conjugate has enhanced enteral uptake as compared to the non-conjugated protein. Also, the uptake capacity of a VB<sub>12</sub>-based delivery system is greatly enhanced by the inclusion of the transporting protein, IF.

(4) Intravenous Administration of VB<sub>12</sub>-IFN-Con Conjugate to Rats

A) Pharmacokinetics

An indwelling cannula was implanted in the right jugular vein of male Sprague Dawley rats weighing 250 grams and the test animals were allowed to recover



- 47 -

for one day prior to administration of the test samples. The cannulas were flushed twice daily with 100  $\mu$ l of saline containing 30 U/ml of heparin. Two groups of rats were used, three rats to a group, which were dosed  
5 through the penile vein with the following formulations:

Group 1 (IFN-Con. Control)

$^{125}$ I-IFN-Con at 8.2  $\mu$ g/rat or 33  $\mu$ g/kg; specific activity 486 cpm/ng or  $4.0 \times 10^6$  cpm/rat; volume of  
10 injection = 120  $\mu$ l/rat

Group 2 (VB<sub>12</sub>-IFN-Con Conjugate)

$^{125}$ I-VB<sub>12</sub>-IFN-Con at 0.4  $\mu$ g/rat or 1.6  $\mu$ g/kg; specific activity 1170 cpm/ng or  $4.0 \times 10^6$  cpm/rat; volume of  
15 injection = 100  $\mu$ l/rat

All samples were formulated in PBS. Blood samples were collected through the indwelling cannula, and the amount of radioactivity in each sample was determined in  
20 a Cobra 5000 gamma counter (Packard).

B) Biodistribution

Three time points, 5 minutes, 60 minutes and 6 hours, were selected for biodistribution analysis  
25 following intravenous administration of the following formulations into the penile vein:

Group 1 (IFN-Con. Control)

$^{125}$ I-IFN-Con at 4.0  $\mu$ g/rat or 16  $\mu$ g/kg; specific activity 486 cpm/ng or  $2.0 \times 10^6$  cpm/rat; volume of  
30 injection = 100  $\mu$ l/rat

- 48 -

Group 2 (VB<sub>12</sub>-IFN-Con Conjugate)

125I-VB<sub>12</sub>-IFN-Con at 4.0 µg/rat or 16 µg/kg; specific  
activity 500 cpm/ng or 2.0 x 10<sup>6</sup> cpm/rat; volume of  
5 injection = 100 µl/rat

After the animals were sacrificed, vital  
organs were removed and either the whole organ or a  
weighed portion was counted in the gamma counter, with  
10 the results then corrected for overall weight of the  
organ. For estimation of the total amount of IFN-Con in  
the blood, 1 milliliter of whole blood was counted and a  
total volume of 17 milliliters was assumed for a rat  
weighing 250 grams. To determine the level of IFN-Con  
15 that might be associated with the gut, a 10-centimeter  
section of the duodenum was removed and counted in the  
gamma counter.

Results

20 The results of the pharmacokinetics study,  
which are depicted in Figure 11, reveal that there is  
very little difference between the behavior of native  
IFN-Con and VB<sub>12</sub>-IFN-Con conjugate in the circulating  
blood of the two test groups of rats at the doses shown.  
25 While blood levels of the conjugate appear to be cleared  
slower than for IFN-Con alone, both materials were  
cleared from the circulation within about an hour after  
administration.

30 The results of the biodistribution study  
(Figures 12 and 13) are especially interesting. As with  
the pharmacokinetics study there was very little  
difference in the circulating levels between native  
IFN-Con (Fig. 12, panel A) and VB<sub>12</sub>-IFN-Con conjugate  
35 (Figure 12, panel B). Distribution to the liver and

- 49 -

kidney was most affected by the presence of the VB<sub>12</sub> on the protein. The liver, especially, had clearly elevated levels of the conjugate compared to native IFN-Con, with a 1.5-fold increase after only five minutes.

- 5 The increase was even more pronounced one hour after administration (a three-fold increase). After six hours, there was no difference (Fig. 12 and Fig. 13).

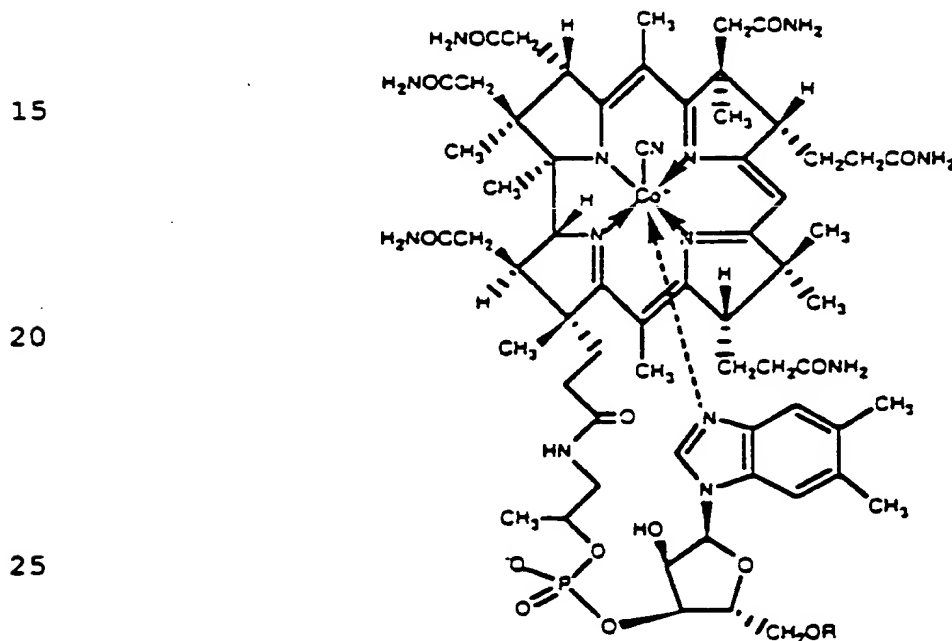
The distribution of the native IFN-Con and the  
10 conjugated IFN-Con in the kidney (Fig. 12) was found to be just the opposite. The major route of elimination of INF-Con from the body is by glomerular filtration, and it would appear that this process is hindered by the presence of VB<sub>12</sub>. This phenomenon might also explain  
15 why the circulating levels of conjugate were generally higher than for native IFN-Con in this study.

These results have significant implications in terms of the utility of VB<sub>12</sub>-IFN-Con conjugate in  
20 treating viral infections such as hepatitis, which typically affect the liver. Due to the increased delivery of the conjugate to the liver, almost three-fold in this study, the conjugate may prove to be a more effective therapeutic by increasing the efficacy of IFN-  
25 Con at that organ. This potential is especially important for an orally delivered VB<sub>12</sub>-IFN-Con conjugate. First pass metabolism dictates that all absorption from the gut first goes through the liver before being distributed through the body. It appears  
30 that VB<sub>12</sub> may help to prevent such distribution and target the orally delivered conjugate to the liver. This effect would be especially important with a therapeutic designed to treat hepatitis.

- 50 -

CLAIMS

1. A biologically active conjugate of vitamin B<sub>12</sub> and  
 5 a therapeutic protein in which the therapeutic protein  
 is covalently bound to the primary (5') hydroxyl group  
 of the ribose moiety of vitamin B<sub>12</sub>.
2. A biologically active conjugate of vitamin B<sub>12</sub> and  
 10 a therapeutic protein according to claim 1, which has  
 the formula:



in which R is

- 30 (1) CO-(CH<sub>2</sub>)<sub>n</sub>-COR<sub>1</sub> wherein R<sub>1</sub> is the protein,  
 or  
 (2) CO-(CH<sub>2</sub>)<sub>n</sub>-CONH-(CH<sub>2</sub>)<sub>12</sub>-NHCOCH<sub>2</sub>CH<sub>2</sub>-S-R<sub>3</sub> wherein  
 R<sub>3</sub> is the protein, or  
 (3) CO-(CH<sub>2</sub>)<sub>n</sub>-CONH-(CH<sub>2</sub>)<sub>7</sub>COR<sub>1</sub> wherein R<sub>1</sub> is the  
 35 protein, or

- 51 -

(4)  $\text{CO}-(\text{CH}_2)_n-\text{CONHNHCO}(\text{CH}_2)_4 \text{ CONHNHR}_1$  wherein  $R_1$  is the protein, or

(5)  $\text{CO}-(\text{CH}_2)_n-\text{CONHNHCO}(\text{CH}_2)_4 \text{ CONHN}=\text{R}_4$  wherein  $R_4$  is the protein,

5 and  $n$  is an integer from 1 to 12.

3. A biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1, in which the therapeutic protein is erythropoietin.

10

4. A biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1, in which the therapeutic protein is granulocyte colony stimulating factor.

15

5. A biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1, in which the therapeutic protein is consensus interferon.

20

6. A biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic according to claims 2, 3, 4 or 5 in which the therapeutic protein is made by recombinant means.

25

7. A pharmaceutical composition comprising a biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1 and a pharmaceutically acceptable carrier.

30

8. A pharmaceutical composition according to claim 7 which is capable of undergoing uptake in and transport through the gut of a mammal to deliver biologically active amounts of the therapeutic protein in the bloodstream.

- 52 -

9. A method for the preparation of a biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1, comprising the steps of:

- 5           a) forming a chemically reactive derivative of vitamin B<sub>12</sub> by converting the primary (5') hydroxyl group on the ribose moiety to a chemically reactive carboxyl group;
- 10          b) optionally converting the chemically reactive carboxyl group on the ribose moiety into another functional group which is capable of covalently binding to a therapeutic protein;
- 15          c) reacting the vitamin B<sub>12</sub> derivative of step (a) or step (b) with the therapeutic protein to form a biologically active conjugate of vitamin B<sub>12</sub> and the protein; and
- 20          d) recovering the conjugate.

10. A method according to claim 9, in which in step (a) vitamin B<sub>12</sub> is reacted with a glutaric acid derivative to form a 5'-O-glutaroyl derivative of vitamin B<sub>12</sub>.

25

11. A method according to claim 10, in which step (b) is carried out to convert the 5'-O-glutaroyl derivative of vitamin B<sub>12</sub> from step (a) into an acylating agent.

- 30          12. A method according to claim 11 in which the 5'-O-glutaroyl derivative of vitamin B<sub>12</sub> is converted into a mixed acid anhydride, an acid halide or on activated ster.

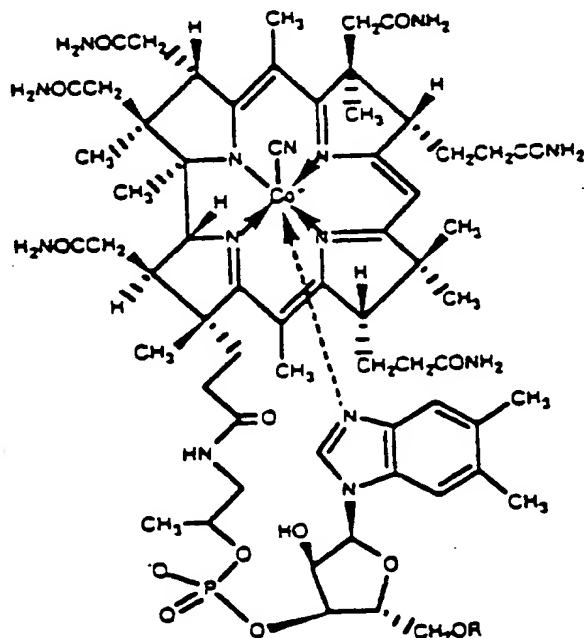
- 53 -

13. A method according to claim 12 in which the active ester is the N-hydroxysuccinimidyl active ester.

14. A method according to claim 9 in which step (c) is carried out in the presence of a carboxyl group activating agent.

15. A pharmaceutical composition comprising (1) a biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein in which the therapeutic protein is covalently bound to the primary (5') hydroxyl group of the ribose moiety of vitamin B<sub>12</sub> and (2) an absorption enhancing amount of human intrinsic factor.

16. A pharmaceutical composition according to claim 15 in which the biologically active conjugate of vitamin B<sub>12</sub> and therapeutic protein has the formula:



in which R is

1) CO-(CH<sub>2</sub>)<sub>n</sub>-COR<sub>1</sub> wherein R<sub>1</sub> is the prot in,

or

- 54 -

- (2)  $\text{CO}-(\text{CH}_2)_n\text{-CONH}-(\text{CH}_2)_{12}\text{-NHCOCH}_2\text{CH}_2\text{-S-R}_3$  wherein  $\text{R}_3$  is the protein, or
- (3)  $\text{CO}-(\text{CH}_2)_n\text{-CONH}-(\text{CH}_2)_7\text{COR}_1$  wherein  $\text{R}_1$  is the protein, or
- 5 (4)  $\text{CO}-(\text{CH}_2)_n\text{-CONHNHCO}(\text{CH}_2)_4\text{ CONHNHR}_1$  wherein  $\text{R}_1$  is the protein, or
- (5)  $\text{CO}-(\text{CH}_2)_n\text{-CONHNHCO}(\text{CH}_2)_4\text{ CONHN=R}_4$  wherein  $\text{R}_4$  is the protein,

and  $n$  is an integer from 1 to 12.

10

17. A pharmaceutical composition according to claim 15 in which the therapeutic protein is selected from the group consisting of erythropoietin, granulocyte colony stimulating factor and consensus interferon.

15

18. A method for enhancing a mammal's response to an orally administered therapeutic protein, comprising administering the protein in the form of a conjugate according to claim 1.

20

19. A method according to claim 18 in which the conjugate is administered with intrinsic factor.

25

20. A method according to claims 18 or 19 in which the mammal is a human being.

30

21. A method for delivering a therapeutic protein to the liver via oral administration, comprising using a biologically active conjugate of vitamin B<sub>12</sub> and a therapeutic protein according to claim 1.

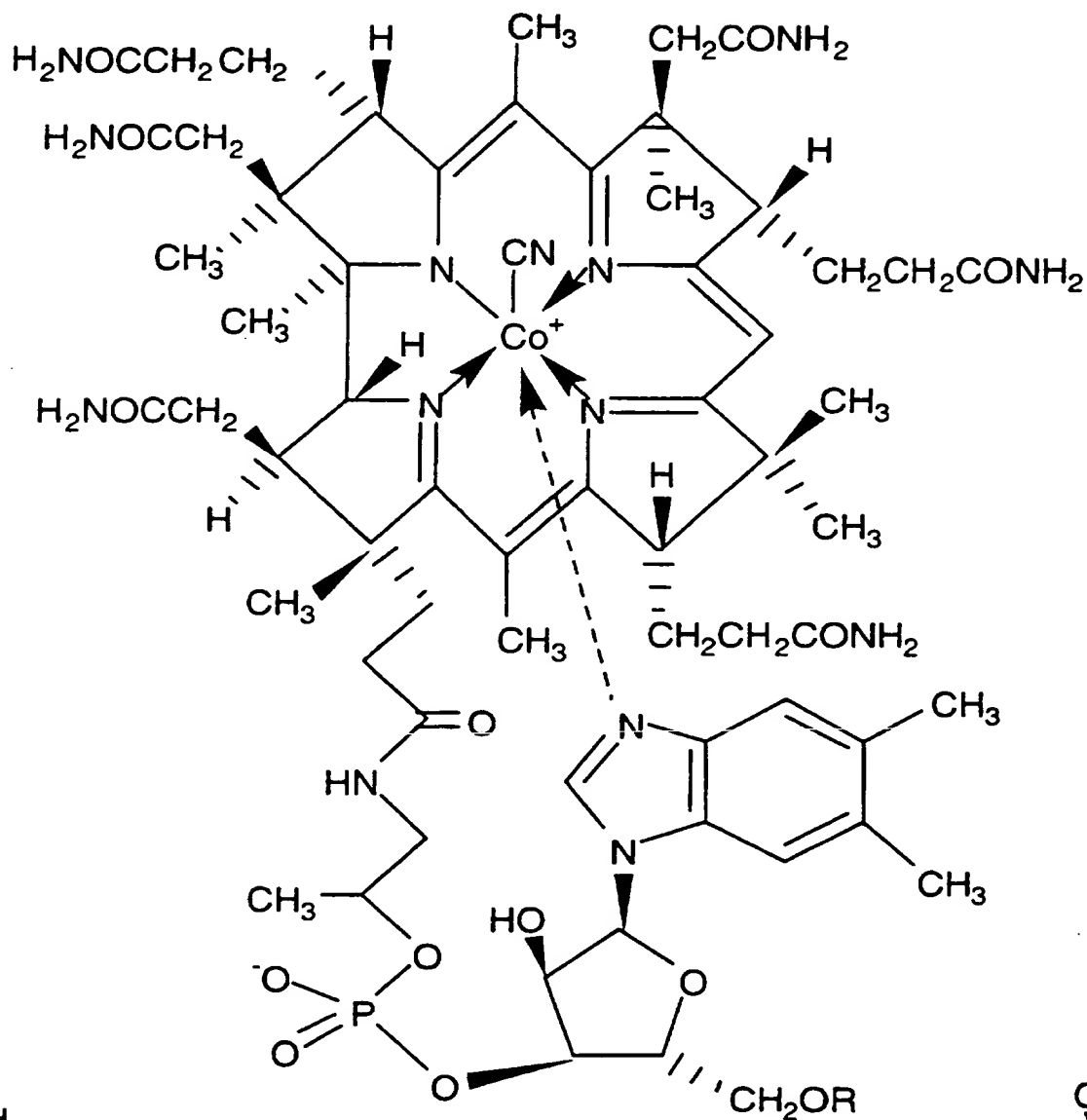
22. A method according to claim 21 in which the therapeutic protein is consensus interferon.



- 55 -

23. A method according to claims 21 or 22 which involves *in vivo* administration to a human being.

Figure 1



1.  $\text{R}=\text{H}$
2.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{COR}_1$   
 $\text{R}_1=\text{OH}$  or protein
3.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{COR}_2$   
 $\text{R}_2=\text{N-hydroxysuccinimidyl}$
4.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONH}-(\text{CH}_2)_{12}-\text{NH}_2$
5.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONH}-(\text{CH}_2)_{12}-\text{NHCOCH}_2\text{CH}_2-\text{S}-\text{R}_3$   
 $\text{R}_3=2\text{-thiopyridyl}$  or protein
6.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONH}-(\text{CH}_2)_7\text{COR}_1$   
 $\text{R}_1=\text{OH}$  or protein
7.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONH}-(\text{CH}_2)_7\text{COR}_2$   
 $\text{R}_2=\text{N-hydroxysuccinimidyl}$
8.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONHNHCO}(\text{CH}_2)_4\text{CONHNH}-\text{R}_1$   
 $\text{R}_1=\text{H}$  or protein
- 8A.  $\text{R}=\text{CO}-\text{CH}_2\text{CH}_2\text{CH}_2-\text{CONHNHCO}(\text{CH}_2)_4\text{CONHN}=\text{R}_4$   
 $\text{R}_4=\text{protein}$

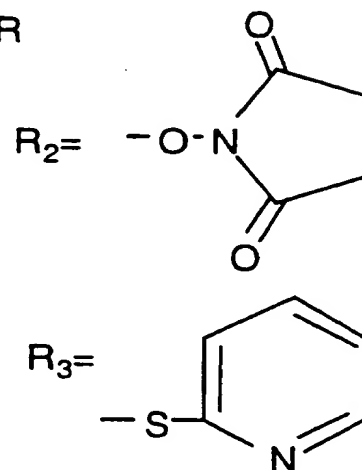


Figure 2

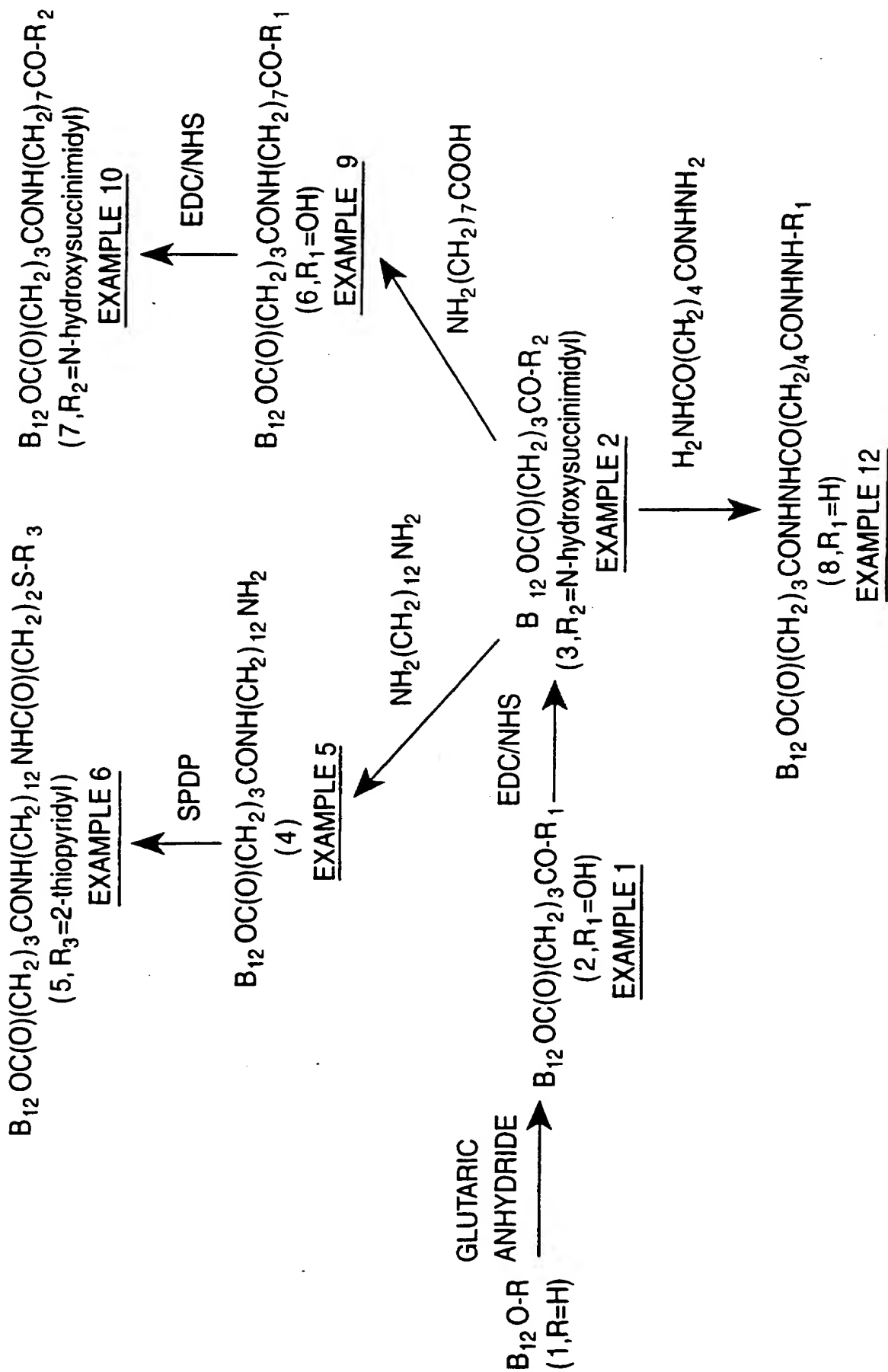


Figure 3

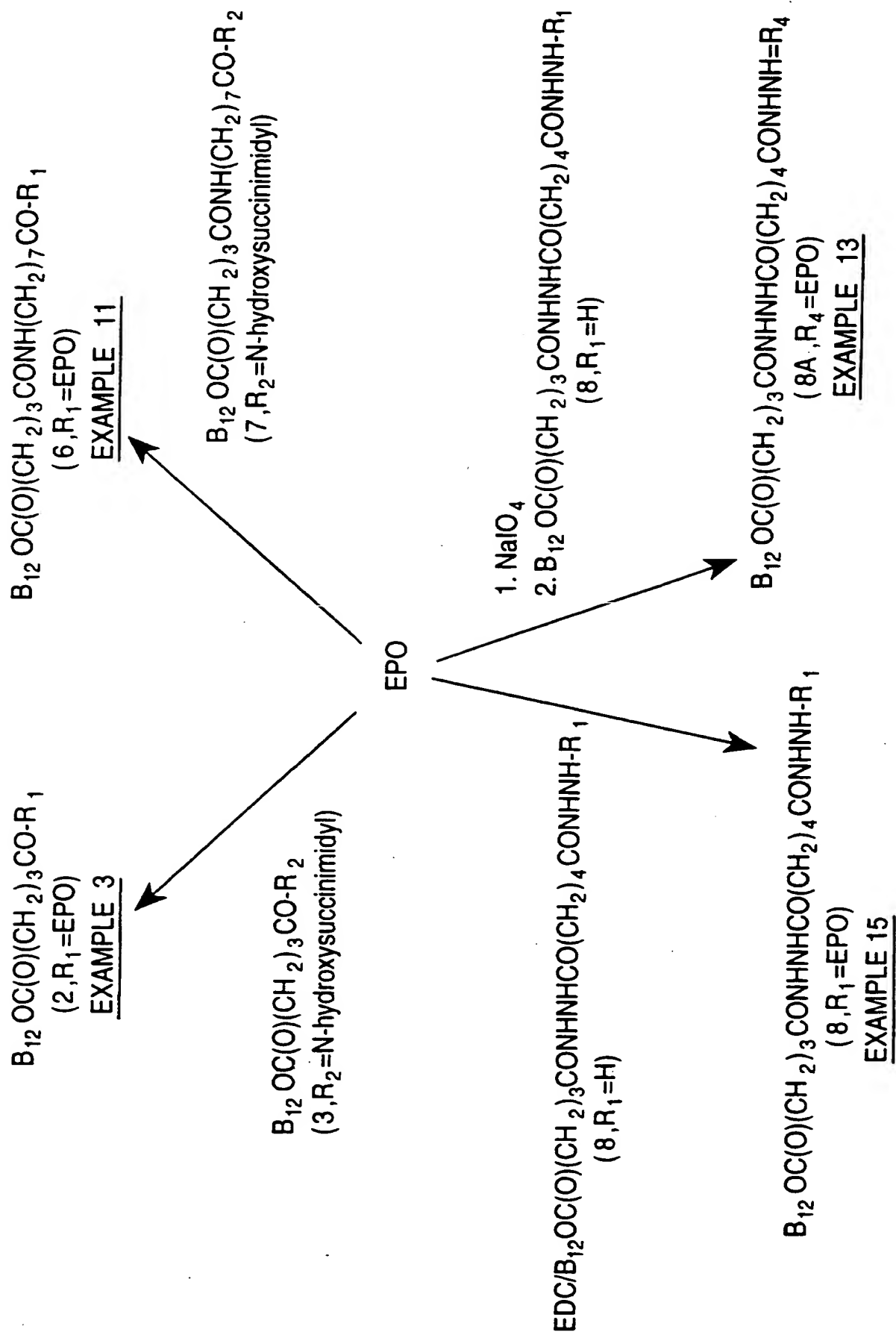


Figure 4

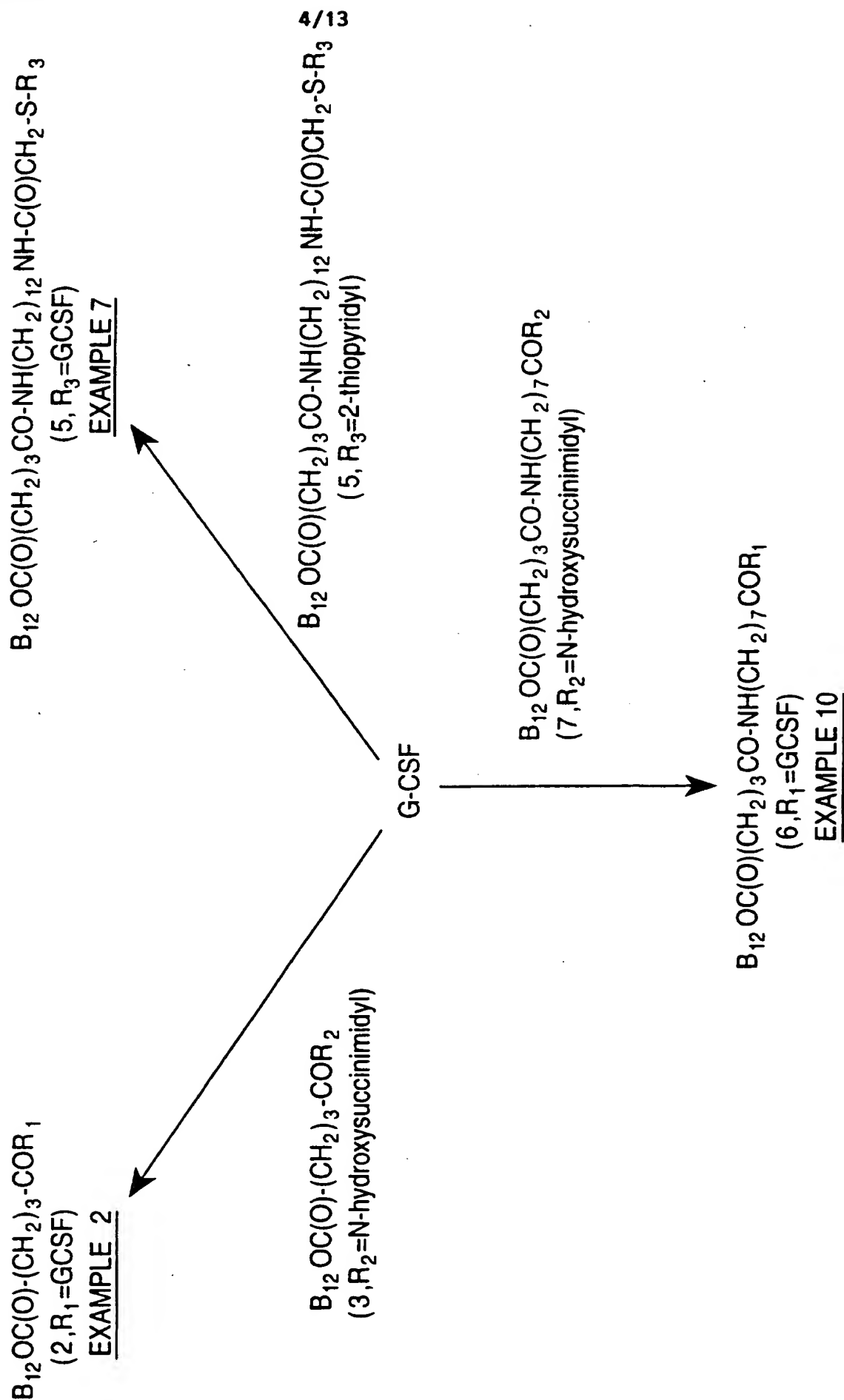


Figure 5

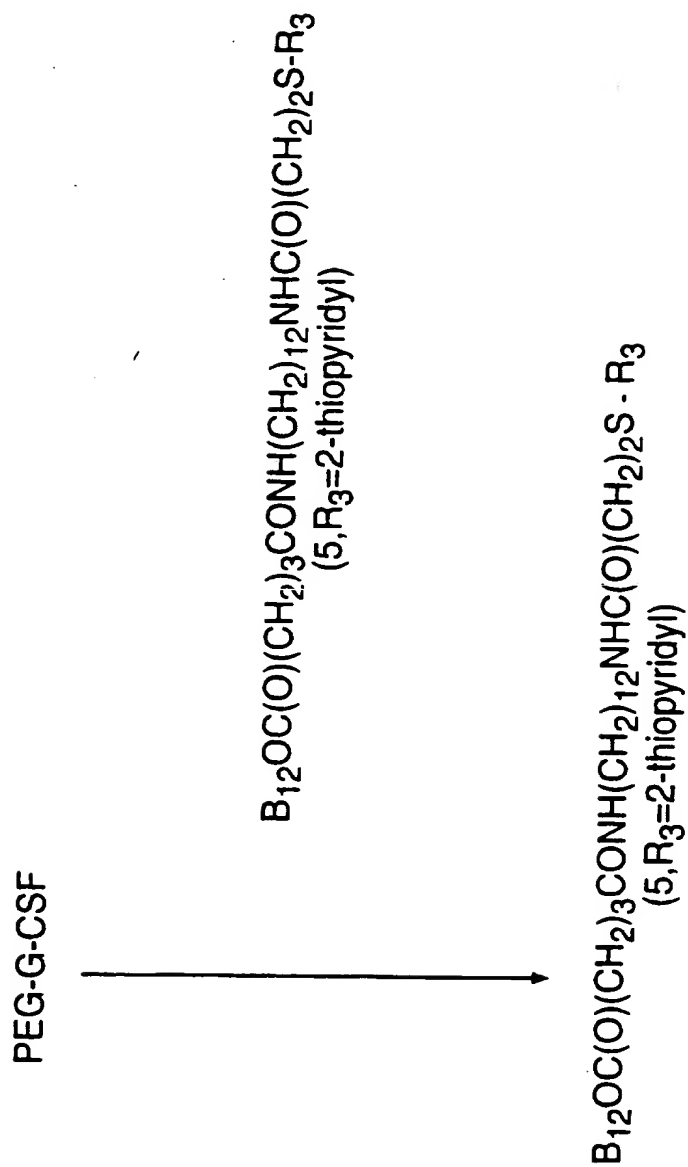
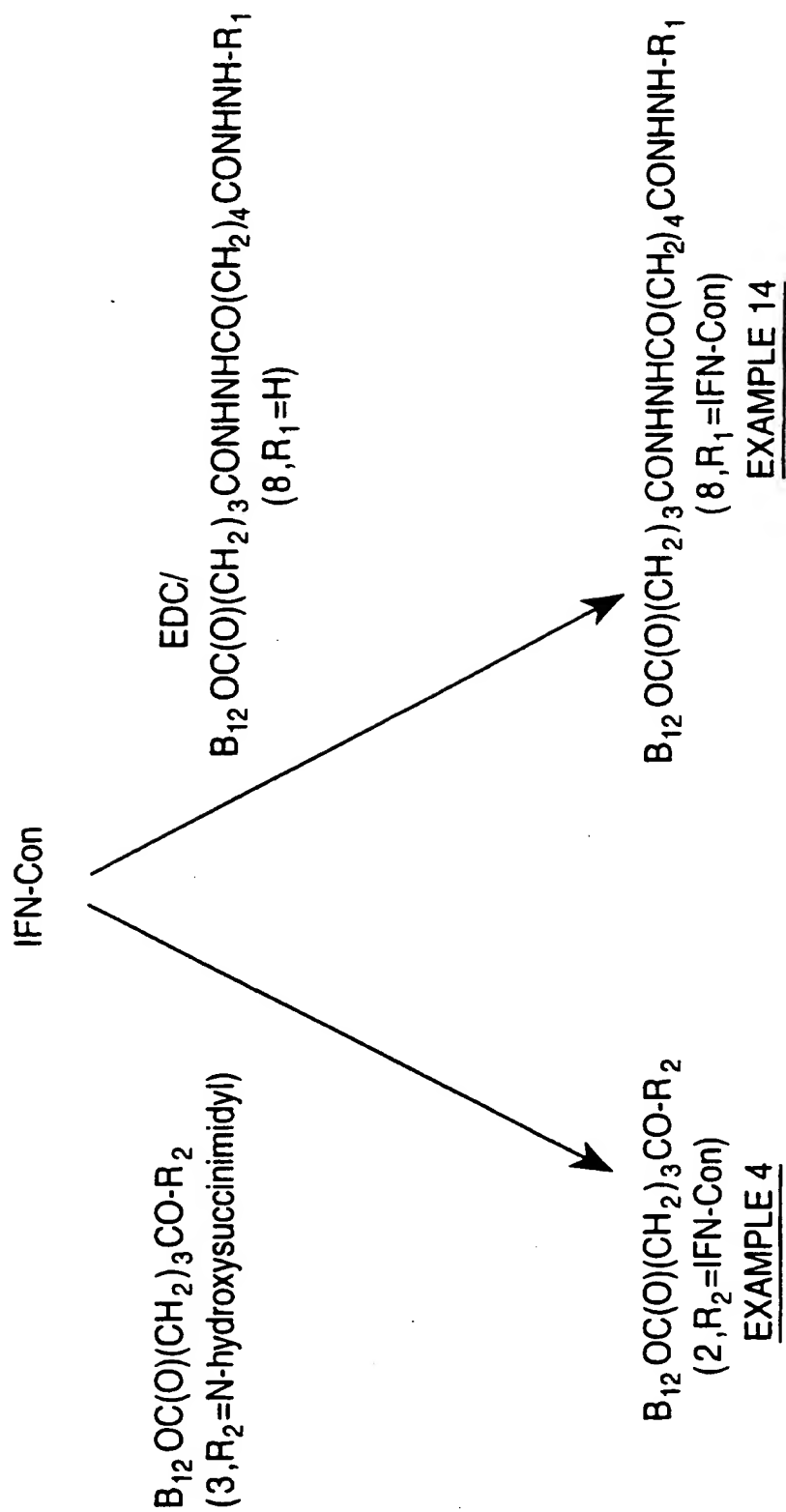
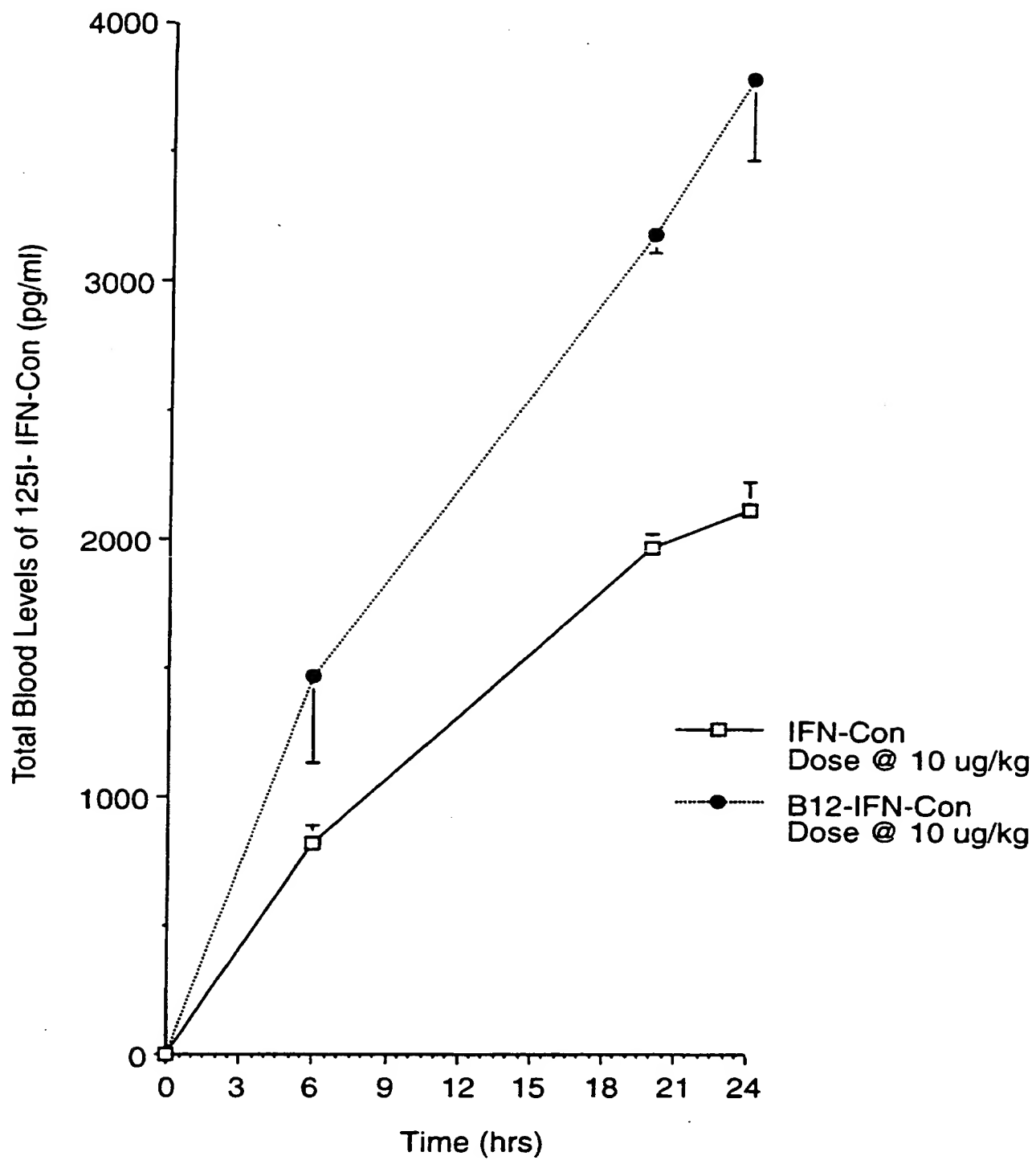
EXAMPLE 8

Figure 6



Figur 7





Figur 8

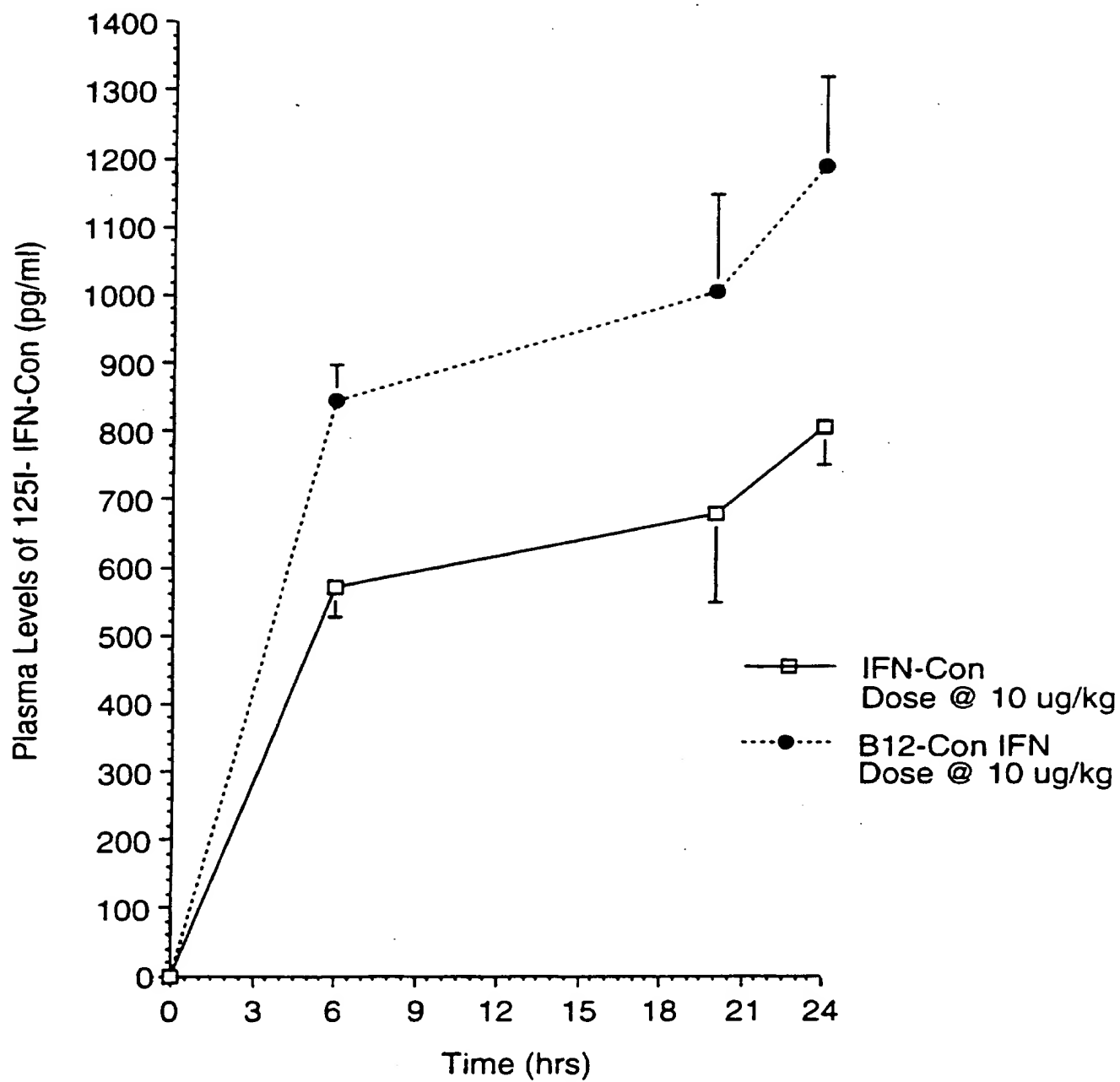
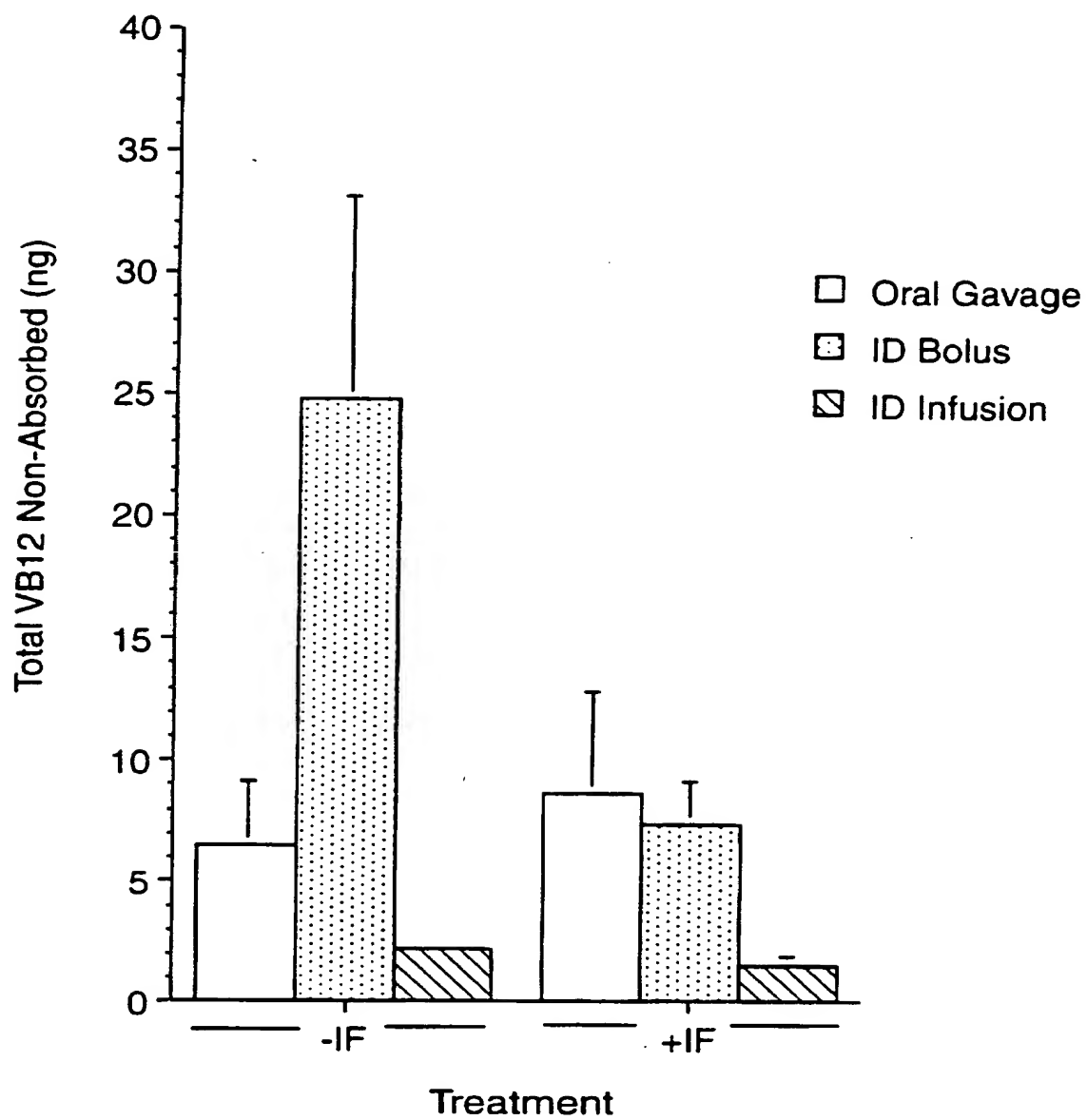


Figure 9



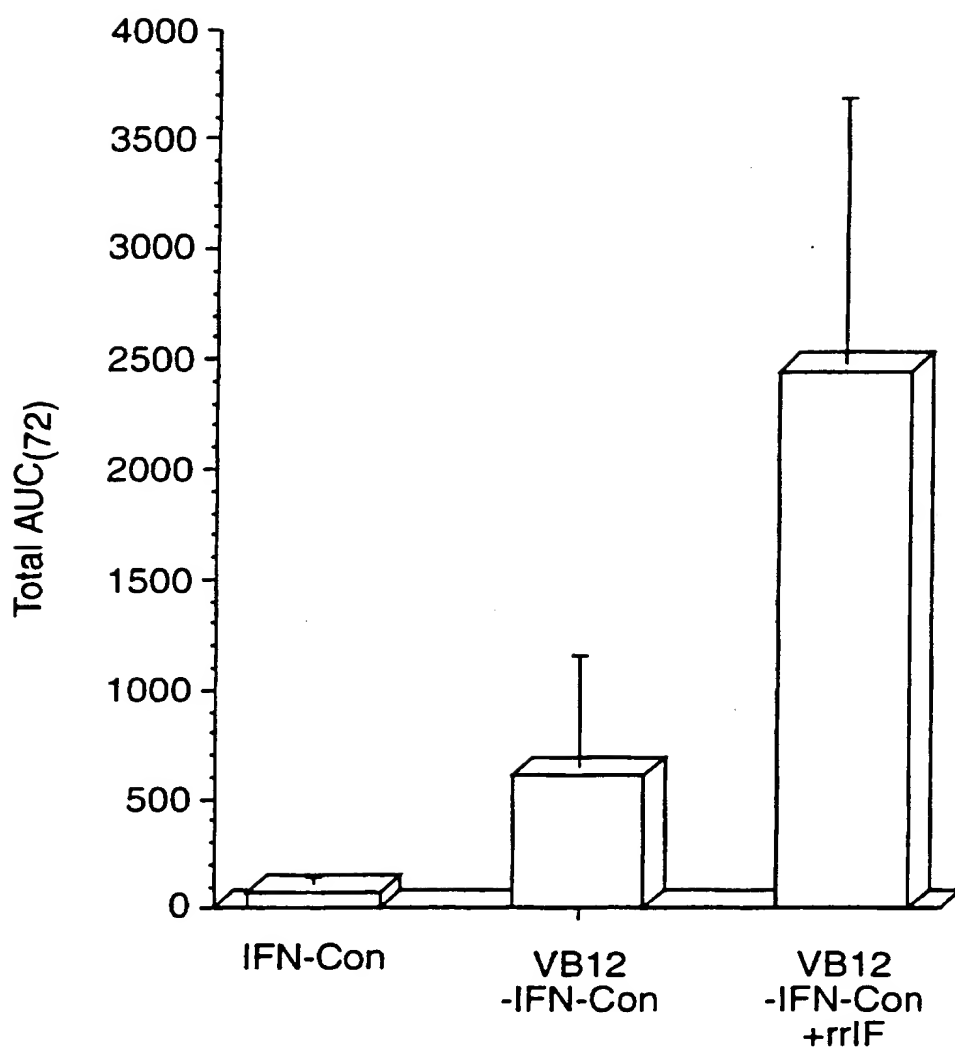
**Figure 10**

Figure 11

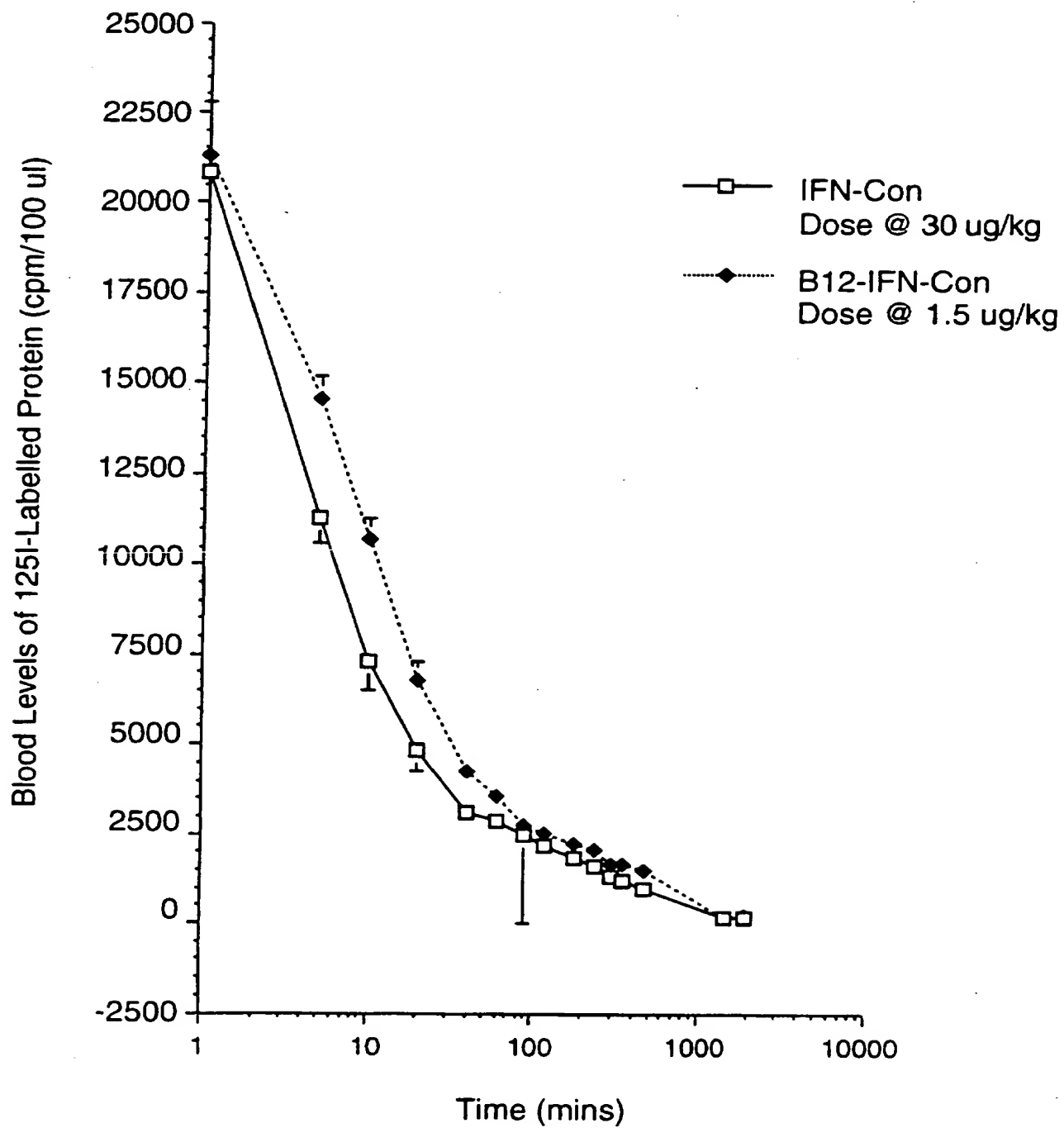
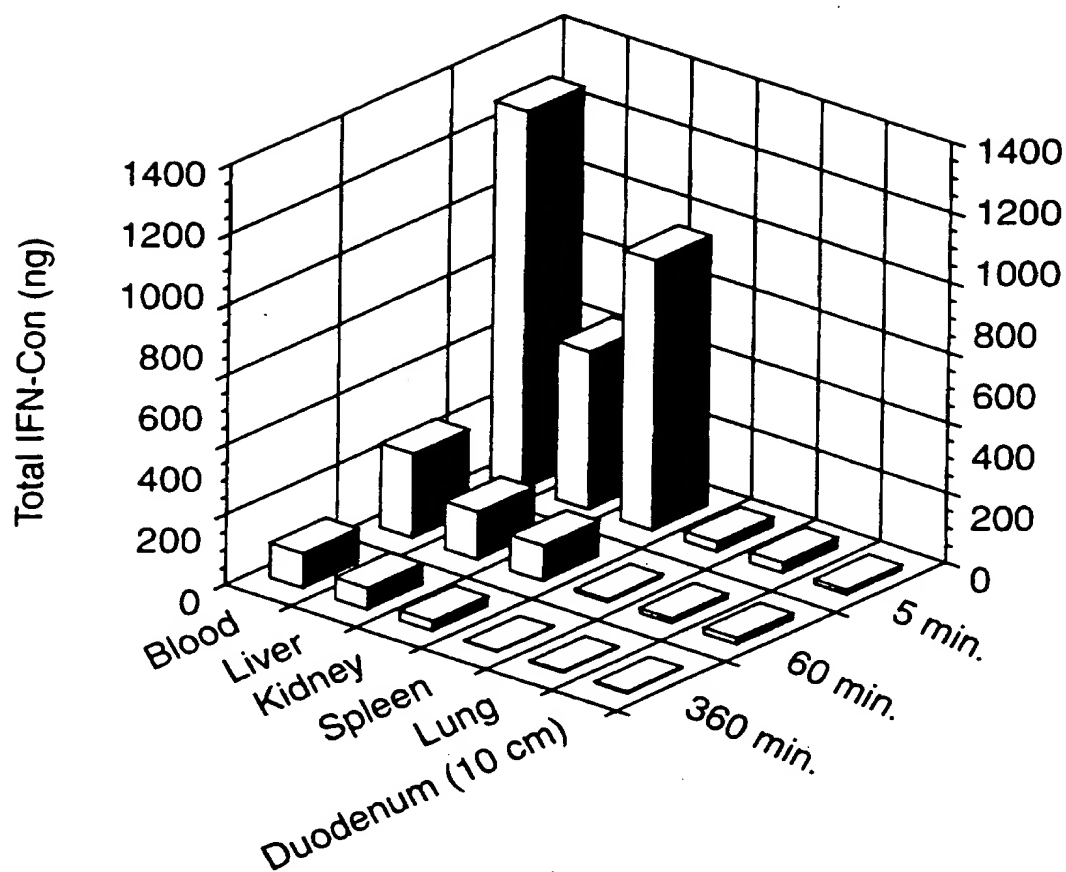
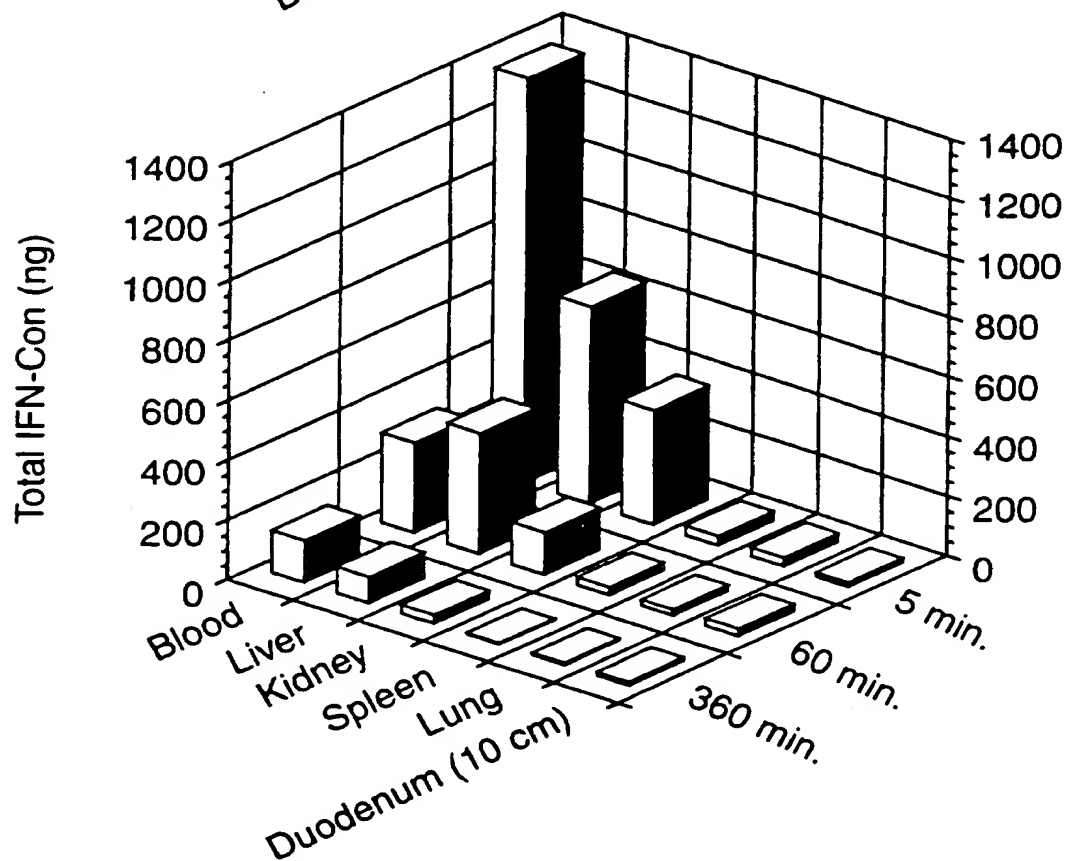


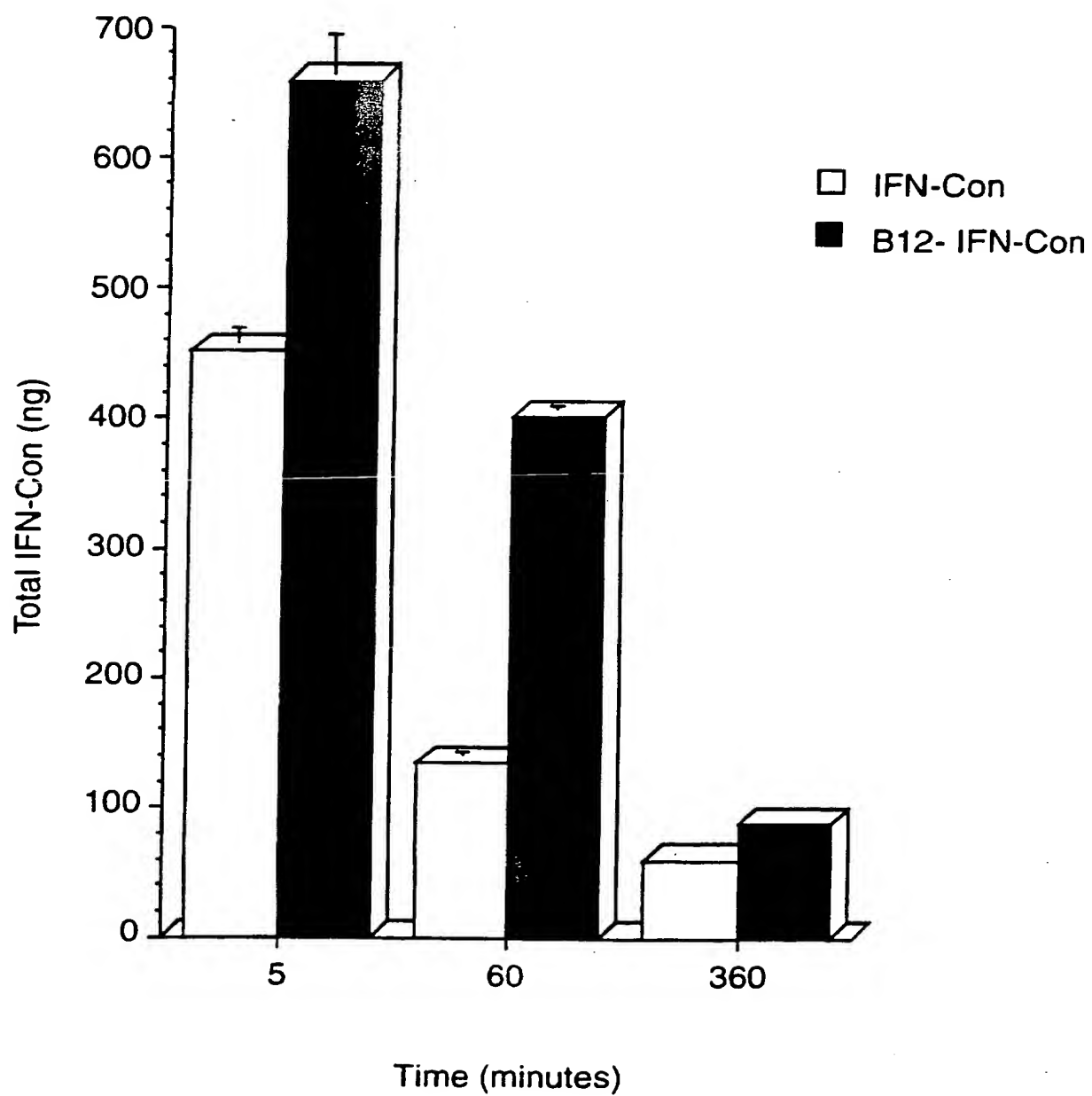
Figure 12

A



B



**Figure 13**

# INTERNATIONAL SEARCH REPORT

national Application No  
PCT/US 95/09477

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61K47/48

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	BIOCONJUGATE CHEM. (1995), 6(4), 459-65 CODEN: BCCHE; ISSN: 1043-1802, 1995 RUSSELL-JONES, G. J. ET AL 'Vitamin B12 Mediated Oral Delivery Systems for Granulocyte-Colony Stimulating Factor and Erythropoietin' see page 460, column 1, paragraph 1; figure 1; tables 1,2 ---	1-23
P, X	WO, A, 94 27613 (BIOTECH AUSTRALIA PTY. LTD., AUSTRALIA) 8 December 1994 see page 2, line 17 - page 3, line 29; claim 1 see page 4, line 14 - page 5, line 2 --- -/--	1-4, 7-21, 23

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*&\* document member of the same patent family

Date of the actual completion of the international search

17 November 1995

Date of mailing of the international search report

01.12.95

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+ 31-70) 340-3016

Authorized officer

Berte, M

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/09477

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP,A,0 220 030 (BIOTECHNOLOGY AUSTRALIA) 29 April 1987 cited in the application	1,7-9, 13, 18-21,23
Y	see page 3, paragraph 2 see page 4, paragraph 2 - page 5, paragraph 1; claims 1-4,6-9,12 ---	1-23
P,X	WO,A,94 28015 (BIOTECH AUSTRALIA) 8 December 1994  see page 5, line 4 - page 6, line 23; table 2 see page 3, line 24 - page 4, line 8 ---	1,2, 6-16,18, 20
A	WO,A,92 17167 (BIOTECH AUSTRALIA) 15 October 1992 ---	
P,A	WO,A,94 27641 (BIOTECH AUSTRALIA) 8 December 1994 see claims ---	1
X	WO,A,90 12095 (PURDUE RESEARCH FOUNDATION) 18 October 1990 see page 6, line 9 - line 22 see page 9, line 12 - line 20 see page 4, line 14 - line 26 -----	1,21,23



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/09477

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9427613	08-12-94	AU-B- 6790494	20-12-94
EP-A-220030	29-04-87	AU-B- 587658	24-08-89
		AU-B- 6528986	05-05-87
		WO-A- 8702251	23-04-87
		CA-A- 1330791	19-07-94
		ES-T- 2051690	01-07-94
		HK-A- 69094	22-07-94
		JP-T- 63501015	14-04-88
		KR-B- 9403057	13-04-94
		SG-A- 53294	14-10-94
		US-A- 5428023	27-06-95
WO-A-9428015	08-12-94	AU-B- 6789294	20-12-94
WO-A-9217167	15-10-92	AU-A- 1558092	02-11-92
		EP-A- 0531497	17-03-93
		NZ-A- 242220	27-04-94
WO-A-9427641	08-12-94	US-A- 5449720	12-09-95
		AU-B- 6790394	20-12-94
WO-A-9012095	18-10-90	AU-B- 5356490	05-11-90
		AU-B- 5437590	05-11-90
		CA-A- 2013580	03-10-90
		CA-A- 2013582	03-10-90
		EP-A- 0466816	22-01-92
		GR-A- 90100248	27-09-91
		JP-T- 5502787	20-05-93
		WO-A- 9012096	18-10-90
		US-A- 5108921	28-04-92
		US-A- 5416016	16-05-95

**THIS PAGE BLANK (USPTO)**